

REQUEST FOR Qualifications

RFQ No. FLO202203100

for

On-Call Civil Engineering, Environmental, and Right of Way Professional Services

Minimum County SLEB Participation Requirement: 20%

Mandatory Pre-SOQ Meeting

Thursday, April 14, 2022 at 2:00 pm

Meeting will be held virtually

<https://bit.ly/ac-floodcontrol>

Or call in (audio only)

+1 415-915-3950

(888) 715-8170 (Toll-free)

Phone Conference ID: 653 944 644#

Response Due

Thursday, May 12, 2022 by 2:00 pm

Alameda County Flood Control and Water Conservation District

399 Elmhurst Street, Room 113

Hayward, CA 94544

Issued:

March 29, 2022

This page left intentionally blank.

Table of Contents

| | |
|-----------------------------------------------------------------------------------------------|-----------|
| I. Need for Professional Services | 1 |
| A. Agency Seeking Services | 1 |
| B. General Project Descriptions | 2 |
| C. Services Needed..... | 2 |
| 1. Stage 1: Detailed Scoping, and Preliminary Design..... | 3 |
| 2. Stage 2: Final Design/PS&E and Acquisition of Environmental Permits and Right-of-Way | 6 |
| 3. Stage 3: Design Related Construction Support | 9 |
| D. Schedule of Services | 9 |
| II. SOQ Requirements | 11 |
| A. Minimum Qualifications | 11 |
| B. Pre-SOQ Meeting | 12 |
| C. Submittal Requirements | 12 |
| 1. Format..... | 12 |
| 2. Content..... | 13 |
| D. Oral Presentation/Interview..... | 17 |
| III. Process of Selection | 19 |
| A. Selection Committee | 19 |
| B. Evaluation Criteria..... | 19 |
| C. Notice of Recommendation to Award | 22 |
| D. Protest/Appeals Process..... | 23 |
| E. Award | 24 |
| F. Schedule of Events | 26 |
| IV. Terms and Conditions for Agreement | 29 |
| A. General: Standard Professional Services Agreement | 29 |
| B. Project Specific | 30 |
| 1. Contract Term and Renewal | 30 |
| 2. Pricing | 30 |
| 3. County Requirements..... | 31 |
| 4. Labor Code Requirements:..... | 32 |

ATTACHMENTS

- A. RFQ and Addendum Acknowledgement Form (to be completed by Prime Consultant)
- B. Iran Contracting Act Compliance Certification Form (to be completed by Prime Consultant)
- C. Exceptions and Amendments Form (to be completed by Prime Consultant, only if requesting any exceptions or amendments to the RFQ or any associated documents. The completed form should be attached to the end of the SOQ)
- D. *Standard Professional Services Agreement (Draft - For Information Only)*
 - 1. *Exhibit A – Services to be Provided by Consultant*
 - 2. *Exhibit B – Payments to Consultant*
 - 3. *Exhibit C – Insurance Requirements*
 - 4. *Exhibit D – Debarment & Suspension Certificate (to be completed by Prime Consultant)*
 - 5. *Exhibit E – Contract Compliance Reporting*
 - 6. *Exhibit F – Iran Contracting Act of 2010*
 - 7.
- E. Alameda County Small, Local and Emerging Business (SLEB) Program Forms (to be completed by Prime Consultant)
 - 1. SLEB Certification Instructions
 - 2. East Bay Inter-agency Alliance (EBIA) Common Application for Local Certification
 - 3. SLEB Partnering Information Sheet
 - 4. County of Alameda - Request for Preference

I. Need for Professional Services

A. Agency Seeking Services

Alameda County Flood Control and Water Conservation District (District) is a Special District and is responsible for implementing flood control infrastructure projects, such as channel capacity and culvert crossing improvements. Occasionally, the agency needs to supplement its staff with the additional expertise and resources from specialized consultants. To this end, District seeks competitive proposals for professional services by issuing a Request for Qualifications (RFQ).

The District may contract with on-call consultants for civil engineering (with emphasis on hydrology and hydraulics, and flood control infrastructures), environmental, and right of way professional services for various local projects. District expects to contract with three prime consulting firms for a period of five years.

This solicitation is not for specific projects, but for specific services. The services are to be rendered for the duration of the contract term. This RFQ does not commit the District to award a contract, to pay any costs incurred in the preparation of a proposal for this request, or to procure or contract for services. The District does not guarantee a specific number or dollar amount of projects will be contracted with the selected consultants.

With this RFQ, District seeks a qualified consultant or team of consultants who can provide civil engineering, environmental, and right of way services for various flood control projects. These projects may include but are not limited to:

- i. Channel capacity improvements
- ii. Culvert crossing improvements under local streets, freeways, or railroad tracks
- iii. Floodwalls construction
- iv. Levee modifications/improvements
- v. Underground pipe system modifications
- vi. Creek restoration
- vii. Major sediment removal
- viii. Dam/reservoir modifications
- ix. Fish passage improvements
- x. Trail construction

The response to this solicitation shall be in the form of a Statement of Qualifications (SOQ).

It is the responsibility of a Consultant to be familiar with all of the specifications, terms and conditions of the RFQ—including those contained in addenda. By the submission of an SOQ, the Consultant certifies, that if awarded a contract, it will make no claim against the District based upon ignorance of conditions or misunderstanding of the requirements.

Any questions regarding this RFQ shall be submitted in writing via email to the contact person listed below by the date & time specified as the *Deadline for Questions* on the *Schedule of Events* (Section III-F).

Contact: Anita Franklin, Administrator
E-mail: anita@acpwa.org
Phone: 510-670-5569
Address: Alameda County Public Works Agency (ACPWA)
399 Elmhurst Street, Hayward, 94544

District reserves the right to amend this RFQ or the criteria for consultant selection in any manner, to cancel this RFQ, or to reject any one or all proposals at its discretion, thus not awarding a contract to any firm.

The most current supporting information and addenda for this RFQ can be found on the ACPWA business webpage: <https://www.acpwa.org/business/current-opp.page?#profservices>. It shall be the Consultant's responsibility to check this webpage to obtain any addenda that may be issued.

B. General Project Descriptions

District has a Capital Improvement Program (CIP) whereby various flood control project are identified and planned for implementation. These projects include Channel Capacity and Culvert Crossing Improvements, floodwalls and levees improvements, underground pipe system modifications, creek restoration, dam/reservoir modifications, fish passage improvement and trail construction. Some of the projects are under contract with consultants or designed by District engineers. The CIP is a plan that could change throughout the CIP period and projects listed might not necessarily reflect current needs.

With this RFQ, District seeks on-call professional services from consultants or teams of consultants who can provide civil engineering (with emphasis on hydrology and hydraulics, and flood control infrastructures), environmental and right of way services in order to deliver flood control capital improvement projects throughout various Flood Control Zones within Alameda County, including Cities of Emeryville, Oakland, San Leandro, Hayward, Union City, Newark and Fremont, and unincorporated areas of Alameda County.

C. Services Needed

District expects to contract with three qualified firms on an on-call basis. Task Orders will be issued for specific projects. Prior to the assignment of any project, the District will request the pre-qualified consultant to provide proposal including budget and schedule for the scope of services for that specific project. Selected firm will be expected to perform tasks in stages as outlined below.

Firms responding to this RFQ are encouraged to demonstrate their understanding and capabilities of performing the various tasks as outlined in each stage as part of their response to this RFQ. Qualified firms must have demonstrated prior and on-going knowledge and experience working with Federal Emergency Management Agency, U.S. Army Corps of Engineers, and California Department of Water Resources.

- Stage 1: Scope Development with Design Alternatives, Preliminary Design of the Selected Option, Identify Potential for State or Federal Grant Applications, and Appropriate Environmental Determination/Documentation
- Stage 2: Final Design/PS&E Preparation and Right of Way Acquisition
- Stage 3: Design Related Construction Support

It should be noted that selected consultants may only be asked to perform certain tasks within each stage. Some projects may have certain tasks that have previously been completed, for example, topographic surveys, and will be made available to the selected consultant for design.

Work by consultants will commence following a *Notice to Proceed* issued by District. Regardless of how the work ultimately proceeds, the SOQ is to include all three stages of work.

1. Stage 1: Detailed Scoping, and Preliminary Design

During this stage, the consultants will provide detailed scoping including alternative design options, identify the preferred design option and perform preliminary design of the preferred alternative. This is expected to include, but not be limited to, project management tasks, performing boundary and topographic surveys, performing assessments, studies, additional hydraulic analysis as needed, obtaining utility information from various utility companies, coordination with other public agencies, and recommending alternative improvements for PS&E development, and performing public outreach.

a. Project Management

The consultants will be responsible for project management activities including: scheduling, reporting, coordination meetings, record keeping and quality assurance.

SCHEDULING: The consultants will be expected to develop and maintain a critical path master project schedule broken down by individual tasks and will incorporate a sub-schedule for each critical project element, such as survey, studies, reports, environmental & right of way assessments, alternatives, etc. Milestones, major deliverables, and percent complete for each task will be included on the schedule. The master schedule will include all known and anticipated logic ties and constraints between project elements. The sub-consultant schedules will be integrated into the master schedule so that they correspond to the work and division of responsibilities. The master project schedule will be submitted to District for review and approval. The approved schedule will then be used to establish deadlines for receiving comments and decision making. The project schedule must be kept up-to-date and submitted to District with the monthly progress report.

REPORTING: The consultants will be expected to prepare monthly progress reports to be delivered with the monthly invoice. The progress report will discuss work items that have been completed during the previous reporting period, relative progress compared to the project budget and schedule, work to be performed during the coming period, and developing issues that may affect scope, schedule, or budget. The consultants must submit the report using an District approved outline.

MEETINGS: The consultants will be expected to organize and conduct meetings among its team members and with District staff when necessary to ensure that progress is mutually understood and that issues are discussed in a timely manner. The Consultant will develop and distribute agenda and meeting minutes. District staff will review and approve meeting minutes prior to distribution.

RECORD KEEPING: All consultants, including sub-consultants, will be expected to maintain Project files in accordance with Caltrans' [Uniform Filing System](#) or another filing system approved by District. The consultants shall maintain files that include all correspondence, documentation and data resulting from or related to the Consultant's services, including but not limited to test results, survey files, engineering computations, working drawings, meeting minutes, memos, and transmittals. Consultant shall compile and maintain project files commencing upon the *Notice to Proceed* incorporating writings, documentation and data as generated, and continuing through completion and acceptance of the services, including required deliverables. The consultants shall make all files available upon request. The consultants shall submit all original files to District by 30 calendar days following a request to do so, or 30 calendar days following consultants' completion of, and District's acceptance of, all services and deliverables required for the project, whichever is earlier.

QUALITY ASSURANCE: The consultants will be expected to develop a QA/QC Manual and Project Management Guide to be approved by the District. It will be used to perform quality assurance/quality control (QA/QC) reviews to assure control of quality during development of the design services. Deliverables may be checked by project staff and receive quality reviews before being released to anyone outside the team. Sub-consultants will perform similar reviews before submittal of documents to Consultant for further processing to the District. QA/QC will be a scheduled and budgeted task within the development of each deliverable. In addition to normal, ongoing routine checking and review, milestone and final reviews of plans, estimates, and reports will be conducted to assure consistent quality and accuracy. Preparation of design calculations, design criteria, technical studies, reports, and cost estimates will conform to the procedures and guidelines established in the approved QA/QC Manual. Project Engineers will review and initial all Consultant produced originals as a record of routine checking and quality control measures taken in review of design calculations.

The project management tasks described above, as well as any others proposed by the consultant and approved by District, will logically continue into the subsequent project stages, should the subsequent project stages proceed as anticipated.

b. Assessments, Studies, and Recommendations for Improvement

The Consultant is expected to assess existing project site conditions, perform pertinent studies and additional hydraulic analysis as needed, develop detailed scope/design alternatives and make recommendations of the preferred alternative for improvement. The Consultant shall research and review existing data, utility information, reports, plans and other information regarding the project site and its conditions. The consultants will inform the District if additional information, assessments and studies are believed to be necessary. Existing data, from which critical decisions are to be made, should be verified by the consultants. The consultants must rely on its own

independent assessments and investigations and not on information provided by District. Proceeding with new assessments and studies must be first approved by District.

ENVIRONMENTAL ASSESSMENT: Consultants will conduct an environmental evaluation to identify potential impacts and issues related to cultural resources, biological resources, hazardous waste, hydrology/floodplains, scenic/visual resources, clean water, construction noise and air quality, and other related impacts as appropriate.

Note: Pursuant to California Labor Code, California Prevailing Wage Rates are required to be paid for covered classifications, such as soils testing.

c. Boundary and Topographic Surveys

Using County specified Datum & Coordinate System, the consultants' California Licensed Land Surveyor (Surveyor) shall prepare and submit to the Engineer for review and approval a complete set of "Topographic Plan Drawings" that delineates all existing visible utilities features, roadway, drainage and flood control improvement features as well as any additional features needed to perform final design of the selected improvement to a level of accuracy sufficient to design the project.

The consultants are expected to research and collect data from County records for use in establishing and mapping the existing public right-of-way and adjacent private property line boundaries. Easements and other property encumbrances must also be researched and included on the survey map. Supplemental Field topographic surveys will be performed to supplement topographic mapping in areas that are deemed necessary by the consultant. This will entail surveying areas that have incomplete or insufficient data where additional field condition verification is needed to complete relevant design data information

Mapping shall delineate all existing drainage, flood control and roadway improvement features, including but not limited to, existing channel embankment grades and grade breaks, channel flow line elevations, elevations associated with concrete transition structures (including apron, headwall, and warped wingwalls, culvert sizes, manholes and drain inlets, railroad track top rail elevations, all types of signing (provide MUTCD sign designation), striping (provide Caltrans striping designation), monuments, reflectors, markers, delineators, guardrails, and all other types of markings, curb, gutter, sidewalk, ramps, driveways, median strip, edge of pavement, fences along property line, signs, trees (including diameter at breast height (DBH)), shrubs, bushes, guard/wood post rails, traffic signals, traffic loops, utility poles, pavement markings, property and easement lines, and utility structures.

The scaled plan drawings must be wet stamped and signed by a Land Surveyor licensed in the State of California. The drawings shall be created using most current AutoCAD Civil 3D and District drawing standards, templates, and alpha codes for the insertion of all blocks, layer control, planimetric, features and fault lines. All monuments, monument lines, benchmarks and control points used, are to be shown on the map.

Note: Pursuant to California Labor Code, California Prevailing Wage Rates are required to be paid for covered classifications, such as field surveyors.

d. Public Outreach

The consultants are expected to develop and implement a project communication/public outreach plan, if required. Conduct public meetings to solicit input on the project and its objectives. The meetings will allow members of the public to provide input on the proposed project throughout the scoping and design stages. The meetings will be facilitated in such a way as to maximize the opportunity for input by attendees. In addition to the residents, the process will also include outreach to businesses, affected agencies, utilities, and other stakeholder groups. Consultant will be responsible for preparing the PowerPoint presentation, exhibits & renderings, handouts, and meeting minutes. Consultant may be requested to develop the meeting notices/mailouts.

e. Preliminary Right of Way Engineering

The consultants are expected to identify all right of way needs for the proposed alternatives and prepare preliminary right of way map and cost estimates.

f. Environmental Clearance

The consultants are expected to take a lead role in securing environmental clearance. Upon selection of the preferred alternative for the next Stage (2), the consultant shall initiate the environmental approval process. Preparation of the CEQA document and any necessary supporting studies and reports for CEQA approval will be the responsibility of the Consultant.

2. Stage 2: Final Design/PS&E and Acquisition of Environmental Permits and Right-of-Way

During Stage 2, the Consultants' expected services may include, but are not limited to: project management, public outreach, environmental documentation and permit acquisition, right-of-way engineering and acquisition, field verified utility mapping, final design, preparation of the project plans, specifications, and estimate (PS&E) and construction bid process support as further described below.

a. Project Management

The consultants are expected to continue the project management tasks initiated in Stage 1

b. Public Outreach

The consultants are expected to continue the public outreach efforts as described in Stage 1.

c. Environmental Permits

The consultants are expected to secure all regulatory permits required for the implementation of the project.

d. Right-of-way Engineering

The consultants are expected to perform analysis and develop technical reports pertaining to right-of-way (ROW) engineering. The Consultant will research and collect data from County records for use in establishing the existing ROW and/or easements. Tasks may include defining future ROW and easement requirements, performing boundary surveys and developing legal boundary descriptions, assisting with ROW acquisition. This work may include performing appraisals and preparing ROW documents such as permits to enter, encroachment permits,

temporary construction easements, permanent easements, property acquisition in fee, ROW plats, maps, and descriptions; notice to owners, and ROW certifications.

e. Utility Coordination and Field Verify Utility Mapping

The consultants are expected to work with the public utilities (e.g., EBMUD, PG&E, Comcast, AT&T) and the District to coordinate any adjustment, relocation, or repair of existing public utility facilities for the project. Consultants must field verify the horizontal and vertical location of utilities that may potentially be impacted by planned improvements. Utility base maps received from various utility companies and any available pothole information will be made available by District. Consultants shall obtain any missing or outdated utility information from the various utility companies. Consultants shall provide field verification of utilities, (e.g., manhole inverts, catch basin inverts, etc.) and acquisition of additional utility record drawings and or/utility data from various agencies if deemed necessary. The depth of selected critical utilities shall be field verified if record drawings of the utilities are not available or if there are perceived utility conflicts. The Consultants shall identify the need for pothole work and perform investigative pothole work upon approval from the District. The Consultants will be responsible for acquiring all permits, paying all related fees and traffic control approvals for potholing, if additional potholing is determined to be required.

Note: Pursuant to California Labor Code, California Prevailing Wage Rates are required to be paid for covered classifications, such as pothole work.

f. Final Design

The consultants are responsible for making sure that their design is performed in accordance with current regulations, and the latest editions/updates of applicable standards and guidelines, which include but are not limited to the following:

- Alameda County Hydrology and Hydraulics Manual
- U.S. Army Corps of Engineers Design Manual
- FEMA Engineering Principles and Practices
- Caltrans Standard Plans and Specifications
- Alameda County Design Guidelines (SD)
- Alameda County Engineering Design Guidelines
- Alameda County Stormwater Quality Control Requirements
- Alameda County Bicycle and Pedestrian Master Plan
- Caltrans Highway Design Manual
- California MUTCD
- AASHTO "Green Book" – A Policy on Geometric Design of Highways and Street
- AASHTO – Roadside Design Guide
- Americans with Disabilities Act Accessibility Guidelines
- California Access Compliance Reference Manual
- Bay-Friendly Landscaping Guidelines: Sustainable Practices for the Landscape Professional
- Alameda County C3 Stormwater Technical Guidance Manual

g. Preparation of PS&E – Plans

Contract plans shall be prepared using most current AutoCAD Civil 3D and in accordance with the District's CADD User's Manual – Section 13 Agency and Consultant CADD Procedures. The AutoCAD files must be submitted with the 70%, 90% and final contract bid documents. Final plans must be wet signed by the consultant's California licensed professional in responsible charge.

Unless otherwise required by the task order, project plan sheets will be submitted at three levels of completion (typically 70%, 90% and 100%), in accordance with an approved schedule of deliverables that meets the design completion schedule for the project. Review submittals shall be made electronically, in 22"x34" PDF. Design progress shall continue during review period. Plan for one page turn review meeting (2 hours) with the District for each progress submittal.

h. Preparation of PS&E – Specifications

Project specification sections shall conform to District's specification format and complement the contents of the special conditions used by District in the contract documents. Specifications will use the latest Caltrans Standard Specifications unless otherwise noted. The technical project specification shall be prepared in MS Word format. The MS Word files will be submitted with each submission round. For the final specification submittal, consultants will provide signature sheets for the special provisions that they wrote.

i. Preparation of PS&E – Estimate

Quantity and construction cost estimates shall be prepared and submitted for all levels (e.g. 70%, 90%, etc.) of review.

Detailed cost estimates shall be prepared using the District format, MS Excel spreadsheet, which includes all bid items described within the specifications. The estimate shall use the same nomenclature and units of pay as indicated in the specifications. The estimate shall reflect current bid prices based on similar projects and the engineer's own judgment. Copies of previous bid results used for estimating shall be submitted along with the final cost estimate.

j. Control of Quality

The consultants are expected to follow their firm's QA/QC procedure throughout the project delivery stages.

k. Construction Bid Support

The consultants will assist District with preparing necessary information to properly solicit competitive construction bids and to support a recommendation to the Board of Supervisors to award the projects. The consultants are expected to assist in responding to contractor requests for information and prepare bid addenda as necessary. Any need to clarify or resolve discrepancies, errors, and/or omissions in the PS&E must be done at no additional cost to the District. Responses to RFI must be made in writing within two workdays of receipt.

3. Stage 3: Design Related Construction Support

During this stage, the consultants are expected to provide engineering support during projects' construction phase, including, but not limited to field visits, project meetings (as needed), and the administration of submittals and responding to requests for information (RFIs).

a. Project Meetings & Field Visits

During the construction phase, consultants are expected to attend the pre-construction conference for their design projects as well as attend meetings when requested to quickly resolve design related issues.

b. Submittal and RFI Administration

The consultants will review and provide guidance to the District on the approval of submittals by the contractor. The consultants will also be responsible for responding to a contractor's RFI. Any need to clarify or resolve discrepancies, errors, and/or omissions in the PS&E must be done at no additional cost to the District. Responses to submittals and RFIs must be made within two days of receipt (unless it is of an emergency nature, which may require an immediate response). Project delay costs resulting from not responding to a submittal or a RFI in a timely manner will be borne by the Consultants.

D. Schedule of Services

At the end of this RFQ process, District will select at least three on-call consultants for contract award.

Task Orders will be issued for specific projects. Prior to the assignment of any projects, the District will request the pre-qualified on-call consultant to provide proposal including budget and schedule for the scope of services for specific projects. Upon agreement of the scope, budget and schedule, the consultant can begin Stage 1 work following the issuance of Notice to Proceed by the District.

II. SOQ Requirements

A. Minimum Qualifications

To qualify for consideration, a proposer must possess adequate resources to perform all of the work needed to complete all stages of the project described in [Section I-C](#). This includes possessing the required licensing, experience, software, and financial system.

LICENSING: All civil engineering work must be performed under the responsible charge of a California registered professional civil engineer in accordance with the California [Professional Engineers Act](#). All land surveying work must be performed under the responsible charge of a California registered professional land surveyor or qualified civil engineer in accordance with the California [Professional Land Surveyors' Act](#).

EXPERIENCE: Consultants and sub-consultants must possess substantial experience in flood control/public works project design and PS&E preparation, including experience working with the latest FEMA and U.S. Army Corps of Engineers Engineering Design Guidelines/Manuals, Caltrans Specifications and Standard Plans. Consultants and sub-consultants must have extensive experience with hydrology and hydraulics, preparing project reports, environmental documents and permitting, and right of way acquisition.

SOFTWARE: The software utilized by any potential consultant and its team must produce deliverables that are fully compatible, readable and useable by District software, requiring no modification or translation of the Consultant's deliverables. Software standards currently used by District are as follows:

- Operating System: Windows 7 and 10 Enterprise
- Word Processing, Spreadsheets, Presentations, et cetera: Microsoft Office Pro Edition 2012
- CADD: AutoCAD Civil 3D 2021

Hydrologic and Hydraulic: U.S. Army Corps of Engineers Hydrologic Engineering Center (HEC) software

- GIS: ArcView

Alternative software can be proposed, but its acceptability will be at the sole discretion of District. A qualified consultant is expected to minimally possess and maintain a professional level of proficiency with respect to all software products. Expert proficiency is desired for CADD C3D programs.

SLEB: As part of its effort to foster the growth of small and local emerging businesses, Alameda County has created a Small Local Emerging Business (SLEB) Program. The program requires that for Architectural/Engineering Services agreements over \$25,000 either the prime consultant must be a certified SLEB or at least 20-percent of the contract amount must be allocated to a certified SLEB sub-consultant. For additional SLEB information, see Section 25 of the Standard Agreement (Attachment D) and the program's webpage: <https://www.acgov.org/auditor/sleb>.

A 5-percent proposal preference is awarded to respondents who are certified by the County as a local business. An additional 5-percent proposal preference is awarded to respondents whose business is also certified by the County as small or emerging.

Applicable documentation of professional engineering and/or surveyor license numbers, or DIR registration numbers shall be part of the submittal.

B. Pre-SOQ Meeting

The virtual pre-SOQ meeting will be held at the date, time and link specified in the 'Schedule of Events' (Section III-F). Only one pre-SOQ meeting is scheduled. This meeting will be held primarily to provide an overview of the RFQ and to provide an opportunity for large and small firms to network and to potentially develop subcontracting relationships. Meeting notes, including a list of attendees, will be issued as an RFQ addendum following the meeting.

C. Submittal Requirements

Responses to this RFQ are to be straightforward, clear, concise and specific to the information requested.

In order for responses to be considered complete, the proposer must provide responses to all information requested.

1. Format

The submitted RFQ response must include one original and four copies in an 8½ x11 format (a reasonable number of 11x17 foldout sheets may be included for presenting large tables, charts, and schedule diagrams). An exact duplicate electronic copy must also be submitted on a read-only CD or USB-drive in a single searchable text PDF file.

The original proposal must be unbound (or provided in an operable three ring binder), printed on plain white paper, and clearly identified as the original.

Statements of Qualifications, in whole or in part, are not to be marked confidential or proprietary. The Agency may refuse to consider any SOQ or part thereof so marked. SOQs submitted in response to this RFQ may be subject to public disclosure. The Agency will not be liable in any way for disclosure of any such records. Please refer to the County's website for more information regarding Proprietary and Confidential Information policies.

The website may be viewed at: <https://gsa.acgov.org/do-business-with-us/contracting-opportunities/policies-procedures/proprietary-confidential-information>

To support environmental sustainability, it is recommended that all printed copies be double-sided and consist of a minimum 30% post-consumer recycled content paper. Adherence will not impact the evaluation or scoring of the proposal.

2. Content

In order to simplify the process and to obtain the maximum degree of comparability, the proposal should be organized and divided into the following sections:

- a) Transmittal Letter
- b) Title page
- c) Table of Contents
- d) Narrative
- e) Understanding of the work to be done
- f) Relevant Work Experience
- g) Staffing Quality
- h) Innovative Capability
- i) Procedural Familiarity
- j) Financial Responsibility
- k) Technical Skills
- l) Contract Negotiation Authorization
- m) Attachments

Do not modify required forms.

Printed proposals must include physical dividers with labeled tabs between each section. Proposals in PDF form should have a bookmark link for the start of each section.

The following is a description of each section:

- a. **Transmittal letter:** Responses shall include a description of Proposer's capabilities and approach in providing its services to the District, and provide a brief synopsis of the highlights of the SOQ and overall benefits of the proposal to the District. This synopsis should not exceed two (2) pages in length. The letter must also identify the authorized signatories for the proposer and include their signature(s).

Unsigned submittals or submittals signed by an individual not authorized to bind the prospective Consultant will be considered nonresponsive and rejected.

- b. **Title page:** The title of the SOQ. This should include the RFQ subject, the name of your firm, place of business, telephone number, contact person name, e-mail address, and the date. Indicate Small, Local and Emerging Business (SLEB) firm(s) and other firms serving as sub-consultants.
- c. **Table of Contents:** An SOQ must include a table of contents listing the individual sections of the proposal and their corresponding page numbers. Tabs should separate each of the individual sections.
- d. **Narrative:** A one-page narrative highlighting the reasons why your firm should be selected to provide the requested services.

The following sections should contain your best effort to identify how your firm, together with any supporting sub-consultant, satisfactorily meets the Agency's requirements and possesses superior qualifications.

IMPORTANT: The first page of each section should be a summary of the information contained on the pages that follow within that section. It should be a synopsis of the most important points that the Proposer wants an evaluator to consider when comparing the information of that section with similar information of competing SOQs. Summaries are not needed for Sections I, J, L, and M. Please provide a summary only for sections that require multiple pages for the response, unless the response is in the form of a list or attachments.

e. Understanding of the work to be done

In this section the proposer should effectively demonstrate a thorough understanding of the delivery of various types of flood control projects:

- Generally identifying how the firm, and any sub-consulting firms, are appropriately qualified and particularly well suited for the delivery of flood control projects similar to those listed earlier. (Expanded details of experience, staffing, et cetera should be provided in subsequent sections as appropriate.)
- Provide the consultant's approach in developing scope of work and delivery approaches and methodologies, including anticipated tasks, assumptions, challenges and concerns, and deliverables.

The consultants' approaches and methodologies to project delivery shall cover all phases from project inception to construction, for projects similar to those listed on page 2 of the RFQ.

f. Relevant Work Experience

In this section the proposers should effectively demonstrate applicable experience by describing similar work previously performed to the clients' satisfaction. Pertinent members of the proposers' team are expected to have been involved with flood control projects including experience and success with grant fund applications, similar to those listed in section I-C, "Services Needed" on page 1, in the last 5-years.

Provide a list of three (3) clients references who would be able to discuss your involvement and contribution to projects similar to those listed in Section I-B. For each reference, provide the company's name and address, a contact person's name, phone number and email, and services provided with dates of services. Verify that the contact information for all references provided is current and valid.

The Agency may contact some or all of the references provided in order to determine your performance record.

- g. Staffing Quality:** Bid responses shall include a complete list of all key personnel associated with the RFQ. This list must include all key personnel who will provide services to District staff and all key personnel who will provide support services. For each professional/technical staff on the list, the following information shall be included:

1. Their name, job title, years of experience, years with the firm, and the city of the office in which the individual will work should also be included;
2. The role that person will play in connection with the RFQ;
3. Their address, telephone, fax numbers, and email address;
4. Their education background;
5. Their relevant experience, certifications, and/or merits.

This information can be provided in the form of one or more lists or organizational charts. An organizational chart showing the reporting relationships and individual staff resumes are required and must be included in this section. Failure to do so will result in rejection of SOQ.

The consultant is responsible for performing the work required under the contract in a manner acceptable to District. The consultant's organization and all associated consultants and sub-consultants must be identified at the time of the SOQ submittal. If the consultant wishes to use a sub-consultant not specified in the SOQ, prior written approval must be obtained from the District. Sub-contracts must contain all required provisions of the prime contract.

- h. Innovative Techniques:** In this section describe your capability of developing innovative or advanced techniques. These techniques may be associated with the manner in which project conditions are assessed, surveyed, studied and modeled, how stakeholder participation efforts are accomplished, how design elements and alternatives are best derived, presented and vetted, or the manner by which features of design or their implementation push the envelope of creativity while at the same time remaining practical, economical, and safe. Capability is best demonstrated by past practice; however, proposing innovative and advanced ideas not previously implemented may also be evaluated favorably.
- i. Procedural Familiarity:** Describe your familiarity with local, state and federal procedures applicable to flood control projects. One aspect of particular importance is that you demonstrate an informed understanding of the requirements of the County's SLEB Program.
- j. Financial Responsibility:** Describe your firm's financial responsibility. At a minimum, you must certify, by including the following statement in your proposal: "Our financial management system meets the standards for financial reporting, accounting records, internal and budget control as set forth in the FAR (48 CFR Part 31) or Title 2 CFR 200 to the extent applicable to the Consultant." SOQs that do not include this statement will be disqualified from further consideration. Provide brief explanation on how your markup(s) are financially responsible and competitive. You should explain how you manage expenses and keep costs at a minimum.

- k. Technical Skills:** In this section the proposers should effectively demonstrate technical skills to perform the required tasks to deliver flood control projects.
- l. Contract Negotiation Authorization:** Include the name(s), e-mail address(es), and phone number(s) of the individual(s) authorized to negotiate this contract and contractually bind your firm and who may be contacted during the period of evaluation. A copy of the Agency's Standard Professional Services Agreement and insurance requirement are enclosed for advance review. Identify any agreement and insurance waivers requested. The Agency has the right to request changes to the project team before execution of the contract. The Agency has the right to reject any and all proposals for any reason.
- m. Attachments:** Attachments at the end of the Proposal must include a completed 'RFQ and Addendum Acknowledgement' form (Attachment A) as well as a completed 'Iran Contracting Act Compliance Certification' form (Attachment B) and Debarment and Suspension Certification (Attachment D, Appendix D). If the Proposer requests any exceptions or amendments to the RFQ or any associated documents, an 'Exceptions and Amendments' form (Attachment C) should also be completed and attached at the end of the SOQ. Please note that the Agency is under no obligation to accept any exceptions and such exceptions may be a basis for disqualifying a proposal.

Attention is directed to Attachment E, which includes Alameda County's Small, Local and Emerging Business (SLEB) Program Forms:

1. SLEB Certification Instructions
2. East Bay Inter-agency Alliance (EBIA) Common Application for Local Certification
3. SLEB Partnering Information Sheet
4. Request for Preference

SOQs that do not include the required completed forms will be disqualified from further consideration.

The RFQ response must be submitted in its entirety within one securely-sealed, sufficiently-sized, envelope or box and received by the Agency by the deadline stated in the Schedule of Events (Section III-F). No part of any response will be accepted via email or fax. Unsealed or late responses will be rejected.

The Agency's reception desk timestamp will be the official record of receipt. In person deliveries should plan extra time for searching and/or paying for limited available parking.

SOQs must be addressed and delivered as indicated below:

Proposers Name

Return Address

SEALED STATEMENT OF QUALIFICATIONS – DO NOT OPEN WITH REGULAR MAIL

To: **Anita Franklin
Alameda County Public Works Agency
399 Elmhurst Street, Room 113
Hayward, CA 94544**

Contents: Proposal for Civil Engineering, Environmental, and Right of Way Professional Services

Only one SOQ will be accepted from any one person, corporation, or partnership. For purposes of this requirement, “partnership” shall mean, and is limited to, a legal partnership formed under one or more of the provisions of the California or other state’s Corporations Code or an equivalent statute.

The District reserves the right to reject any SOQ, but all qualifying SOQs shall remain open to acceptance and are irrevocable for a period of one hundred eighty (180) days.

All costs associated with the preparation and submission of the written SOQ shall be fully borne by the proposer. All submitted materials become the property of the District and will not be returned.

Every SOQ received will become part of public record and will not be treated as proprietary. Each SOQ will be open to public inspection following the award of the contract.

D. Oral Presentation/Interview

Following an evaluation of the written SOQs, at least three of the highest scoring proposers will be invited to participate in an oral presentation/interview process. Participants must submit at the time of the interview, a sealed cost proposal containing the fee rate schedule for all staff/team members. Additional details will be provided to those who are invited to participate. Generally, the oral presentation/interviews can be expected to occur approximately 2 weeks after the deadline to submit a written proposal, as reflected in the *Schedule of Events* (Section III-F). At the interview, the selection team will expect the Consultant team to present its team members and their qualifications. The project manager and key staff persons who will be working on the project on a daily basis must be present for the interview/presentation. The presentation will be followed by a question and answer period by the selection team.

All costs associated with the preparation for, the travel to, and attendance of an oral presentation/interview shall be fully borne by the proposers.

This page left intentionally blank.

III. Process of Selection

A. Selection Committee

All written proposals that pass the initial Evaluation Criteria which are determined on a pass/fail basis (Completeness of Response, Financial Stability, and Debarment and Suspension) will be evaluated by the Agency's Selection Committee (ASC). The ASC may be composed of District staff and other parties that may have expertise or experience in civil engineering, environmental, and right-of-way services. The ASC will score each SOQ and make a consultant recommendation in accordance with the evaluation criteria set forth in this RFQ. The evaluation of the proposal shall be within the sole judgment and discretion of the ASC.

All contact during the evaluation phase shall be through the Agency designated representative only. You must not contact or lobby evaluators during the evaluation process. Any attempt to contact and/or influence members of the ASC may result in disqualification.

The ASC will evaluate each SOQ that meets the qualification requirements set forth in this RFQ. Bear in mind that any SOQ that is unrealistic in terms of technical or other commitments will be deemed reflective of an inherent lack of technical competence or indicative of a failure to comprehend the complexity and risk of the District's requirements as set forth in this RFQ.

B. Evaluation Criteria

The Agency intends to select the most qualified responsible proposers whose response conforms to the RFQ.

Each of the Evaluation Criteria below will be used in ranking and determining the quality of proposers' SOQs. SOQs will be evaluated according to each Evaluation Criterion and scored on the zero to five-point scale outlined below. The scores for all Evaluation Criteria will then be added, according to their assigned weight (below), to arrive at a weighted score for each SOQ. A statement of qualifications with a high weighted total will be deemed of higher quality than one with a lesser-weighted total.

The evaluation process is a two-stage approach. The first stage is initial evaluation of the written proposal based on the criteria for the written proposal, reference checks, and preliminary scoring. The proposers receiving the highest score on the written proposal and with at least 70 points will be invited to an oral presentation and interview. All other bidders will be deemed eliminated from the process. All proposers will be notified of the short list participants; however, the preliminary scores at that time will not be communicated to proposers.

The zero to five-point scale range is defined as follows:

| | | |
|----------|------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0 | Not Acceptable | Non-responsive, fails to meet RFQ specifications. The approach has no probability of success. For mandatory requirement this score will result in disqualification of submittal. |
| 1 | Poor | Below average, falls short of expectations; is substandard to the average or expected norm, has a low probability of success in achieving objectives per RFQ. |
| 2 | Fair | Has a reasonable probability of success, however, some objectives may not be met. |
| 3 | Average | Acceptable, achieves all objectives in a reasonable fashion per RFQ specification. This will be the baseline score for each item with adjustments based on interpretation of the SOQ by members of the ASC. |
| 4 | Above Average/Good | Very good probability of success, better than the average or expected as the norm. Achieves all objectives per RFQ requirements and expectations. |
| 5 | Excellent/Exceptional | Exceeds expectations, very innovative, clearly superior to that which is average or expected as the norm. Excellent probability of success in achieving all objectives and meeting RFQ specification. |

The Evaluation Criteria and their respective weights are as follows:

| Written Evaluation Criteria | Weight |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| <p>A. Completeness of Response Responses to this RFQ must be complete. Responses that do not include the content required by this RFQ and any subsequent addenda and do not address each of the items listed below will be considered incomplete, be rated a "Fail" in the Evaluation Criteria, and will receive no further consideration.</p> <p>Responses that are rated a Fail and are not considered may be picked up at the delivery location within 14 calendar days of contract award and/or the completion of the competitive process.</p> | Pass / Fail |
| <p>B. Debarment and Suspension You, your principal and named subcontractors are not identified on the list of Federally debarred, suspended or other excluded parties found at the US Federal Contractor Registration (USFCR) website.</p> | Pass / Fail |

| Written Evaluation Criteria | Weight |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|
| C. Understanding of Work to be Done <ul style="list-style-type: none"> You demonstrated a thorough understanding of the purpose and scope of the procurement and the Agency's typical projects. You identified pertinent issues and potential problems related to the Agency's typical project You demonstrate an understanding of the deliverables required for the typical project. | 25 |
| D. Relevant Work Experience <ul style="list-style-type: none"> The individuals assigned to the project have experience on similar projects (roles and tasks on said projects should at least be similar) The personnel designated in the SOQ have been involved with at least two similar projects in the last 5 years. | 20 |
| E. Staffing Quality <ul style="list-style-type: none"> The personnel designated to work on the projects have extensive applicable education, experience and the certification required. | 15 |
| F. Innovative Capability <ul style="list-style-type: none"> You demonstrated your capability of developing innovative or advanced techniques. | 10 |
| G. Procedural Familiarity <ul style="list-style-type: none"> You demonstrated your familiarity with local, state and federal procedures applicable to the project. You demonstrated an informed understanding of the requirements of the County's SLEB Program. | 5 |
| H. Financial Responsibility <ul style="list-style-type: none"> Your SOQ includes the required statement certifying your financial management system meets the standards set forth in the RFQ. You demonstrated how your markups are financially responsible and competitive. You demonstrated your ability to manage expenses and keep costs at a minimum. | 5 |

| Written Evaluation Criteria | Weight |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| I. Technical Skills: You effectively demonstrate technical ability to perform the required tasks consistent with the proposed scope of work. | 15 |
| J. References: References will be asked about their experience working with you on work similar to that described in this RFQ. Former clients will be asked about your role in the project, the methods, techniques or solutions the consultant offered, and if the project was completed on time and within budget. | 5 |
| Subtotal: | 100 |

| Oral Presentation and Interview Evaluation Criteria | Weight |
|-----------------------------------------------------|------------|
| K. Presentation by Team | 25 |
| L. Q&A Response to Panel Questions | 25 |
| Subtotal: | 50 |
| TOTAL: | 150 |

| | LOCAL <u>OR</u> SLEB PREFERENCES | |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| 1. | Local Preference Points: Equaling five percent (5%) of your total score will be added. This will be your final score for purposes of evaluation; <u>OR</u> | 5% added to Total |
| 2. | County Certified Small and Local, or Emerging and Local Preference Points: Equaling ten percent (10%) of your total score will be added. This will be your final score for purposes of evaluation. | 10% added to Total |

C. Notice of Recommendation to Award

At the conclusion of the RFQ response evaluation process, the Agency will notify all proposers by e-mail or US Postal Service mail, of the contract award recommendation, if any. The announcement will be titled “*Notice of Recommendation to Award.*” It will provide the name of the proposer being recommended for contract award and the names of all other parties that submitted proposals.

At the conclusion of the RFQ response evaluation process, debriefings for unsuccessful proposers may be scheduled and provided upon written request and will be restricted to discussion of the unsuccessful offeror’s proposal. The debriefing may include review of the successful offeror’s proposal, but it will not include any discussion of successful proposer’s contract negotiations.

The submitted proposals shall be made available upon request no later than five calendar days before approval of the award and contract is scheduled to be considered for award by the Board of Supervisors.

D. Protest/Appeals Process

The Agency utilizes fair and competitive contracting procedures.

The following is provided in the event that proposers wish to protest the process or the recommendation to award a contract once the Notice of Recommendation to Award has been issued. Protests submitted prior to issuance of the Notice of Recommendation to Award will not be accepted by the County.

- A. Any protest by any proposer regarding any other proposal must be submitted in writing to the Alameda County Flood Control and Water Conservation District (District) General Manager, Alameda County Public Works Agency, 399 Elmhurst St, Hayward, CA 94544, before 5:00 p.m. of the fifth business day following the date of issuance of the Notice of Recommendation to Award, not the date received by the protester. A protest received after 5:00 p.m. is considered received as of the next business day.
 - a. The protest must contain a complete statement of the reasons and facts for the protest.
 - b. The protest must refer to the specific portions of all documents that form the basis for the protest.
 - c. The protest must include the name, address, email address, fax number and telephone number of the person representing the protesting party.
 - d. The District's General Manager will transmit a copy of the protest to all proposers as soon as possible after receipt of the protest.
- B. Upon receipt of a written protest, the District's General Manager or designee will review and evaluate the protest and issue a written decision. The District's General Manager, may, at his discretion, investigate the protest, obtain additional information, provide an opportunity to settle the protest by mutual agreement, and/or schedule a meeting(s) with the protesting proposer and others (as appropriate) to discuss the protest. The decision on the protest will be issued at least ten (10) business days prior to the Board hearing.

The decision will be communicated by e-mail or fax, and certified mail, and will inform the proposer whether or not the recommendation to the Board of Supervisors in the Notice of Recommendation to Award is going to change. A copy of the decision will be furnished to all proposers affected by the decision. As used in this paragraph, a proposer is affected by the decision on a proposal protest if a decision on the protest could have resulted in the proposer not being the apparent successful proposer on the RFQ.

- C. The decision of the District's General Manager on the protest may be appealed to the Auditor-Controller's Office of Contract Compliance (OCC) located at 1221 Oak St., Room 249, Oakland, CA 94612, Fax: (510) 272-6502. The proposer whose proposal is the subject of the protest, all proposers affected by the District's General Manager decision on the protest, and the protestor have the right

to appeal if not satisfied with the District's General Manager decision. All appeals to the Auditor-Controller's OCC shall be in writing and submitted within five (5) business days following the issuance of the decision by the District's General Manager, not the date received by the appellant. An appeal received after 5:00 p.m. is considered received as of the next business day. An appeal received after the fifth business day following the date of issuance of the decision by the District's General Manager shall not be considered under any circumstances by the Auditor-Controller OCC.

- a. The appeal shall specify the decision being appealed and all the facts and circumstances relied upon in support of the appeal.
 - b. In reviewing protest appeals, the OCC will not re-judge the proposal(s). The appeal to the OCC shall be limited to review of the procurement process to determine if the contracting department materially erred in following the RFQ or, where appropriate, County contracting policies or other laws and regulations.
 - c. The appeal to the OCC also shall be limited to the grounds raised in the original protest and the decision by the District's General Manager. As such, an appellant is prohibited from stating new grounds for a protest in its appeal. The Auditor-Controller (OCC) shall only review the materials and conclusions reached by the District's General Manager, and will determine whether to uphold or overturn the protest decision.
 - d. The Auditor's Office may overturn the results of a proposal process for ethical violations by District staff, selection committee members, subject matter experts, or any other County staff managing or participating in the competitive process, regardless of timing or the contents of a proposal protest.
 - e. The decision of the Auditor-Controller's OCC is the final step of the appeal process. A copy of the decision of the Auditor-Controller's OCC will be furnished to the appellant, the proposer whose proposal is the subject of the protest, and all proposers affected by the decision.
- D. The County will complete the protest/appeal procedures set forth in this paragraph before a recommendation to award a contract is considered by the Board of Supervisors.
- E. The procedures and time limits set forth in this paragraph are mandatory and are each proposer's sole and exclusive remedy in the event of a proposal protest. A proposer's failure to timely complete both the proposal protest and appeal procedures shall be deemed a failure to exhaust administrative remedies. Failure to exhaust administrative remedies, or failure to comply otherwise with these procedures, shall constitute a waiver of any right to further pursue the proposal protest, including filing a government code claim or legal proceedings.

E. Award

SOQs will be evaluated by a committee and will be ranked in accordance with the RFQ Sections III.A and III.B. The committee will recommend award to the bidder(s) who, in its opinion, has submitted the SOQ that best serves the overall interests of the District and attains the highest overall point score.

The District reserves the right to reject any or all responses that materially differ from any terms contained in this RFQ or from any Exhibits attached hereto, to waive informalities and minor irregularities in

responses received, and to provide an opportunity for bidders to correct minor and immaterial errors contained in their submissions. The decision as to what constitutes a minor irregularity shall be made solely at the discretion of the District.

The District reserves the right to award to a single or multiple consultants.

The District has the right to decline to award this contract or any part thereof for any reason.

Board approval to award a contract is required.

A contract must be negotiated, finalized, and signed by the recommended awardee prior to Board approval.

Final Standard Professional Services Agreement terms and conditions will be negotiated with the selected consultant(s).

The RFQ specifications, terms, conditions and Exhibits, RFQ Addenda and Bidder's SOQ, may be incorporated into and made a part of any contract that may be awarded as a result of this RFQ.

F. Schedule of Events

| EVENT | DATE • TIME • LOCATION |
|-------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Issuance of Request for Qualifications | Tuesday, March 29, 2022 |
| Mandatory Pre-SOQ Meeting | Thursday, April 14, 2022 at 2:00 pm Link to Virtual Meeting: https://bit.ly/ac-floodcontrol Or call in (audio only) +1 415-915-3950 (888) 715-8170 (Toll-free) Phone Conference ID: 653 944 644# |
| Deadline for Questions | Thursday, April 28, 2022 by 5:00 pm |
| Issuance of Final RFQ Addendum if necessary | Monday, May 2, 2022 |
| Statement of Qualifications Due * * * IMPORTANT * * * Follow all submission requirements stipulated in Section II-C-2 | Thursday, May 12, 2022 by 2:00 pm Attn: Anita Franklin Alameda County Public Works Agency 399 Elmhurst St, Room 113 Hayward, CA 94544 <i>Deliveries will be received and time-stamped at the reception desk.</i> |
| SOQ Evaluation Period | May 16 through May 27, 2022* |
| Oral Presentation/Interviews | Week of June 6, 2022* |
| Identify Preferred Proposal and Negotiate Agreement with Proposer | Week of June 13, 2022* |
| Notice of Recommendation to Award | Week of July ##, 2022* |
| Protest Deadline | 5 business days following the issuance of the Notice of Recommendation to Award |
| Contract Consideration and Anticipated Award by Board of Supervisors | TBD |
| Contract Start Date | TBD |

***Tentative Dates**

Submittals received after the time and date specified above will be considered nonresponsive and will be returned to the Consultant.

Any statement of qualifications received prior to the time and date specified above may be withdrawn or modified by written request of the Consultant. To be considered, however, the modified SOQ must be received by the due date and time.

The prospective Consultant is advised that should this RFQ result in recommendation for award of a contract, the contract will not be in force until it is approved and fully executed by the Board of Supervisors.

This page left intentionally blank.

IV. Terms and Conditions for Agreement

Prior to acting upon this opportunity, you should review all applicable County, State, and Federal policies, terms and conditions normally included as contractual requirements for projects of this type. The actual contract terms and conditions may differ from those presented here based on contract negotiations or changes in policy or law that might occur prior to executing a final agreement.

Exceptions and Amendments

If you wish to request exceptions or amendments to this RFQ or associated documents, you must complete the Exceptions and Amendments Form (Attachment C) and submit it with the SOQ. The District is under no obligation to accept any exceptions and such exceptions may be a basis for SOQ disqualification.

A. General: Standard Professional Services Agreement

District's Standard Professional Services Agreement is provided here as Attachment D. You should familiarize yourself with its provisions (particularly the insurance requirements):

1. Definitions
2. Term of Agreement
3. Services Consultant Agrees to Perform
4. Compensation (*see the Agreement's Appendix B for invoicing requirements*)
5. Maximum Costs
6. Qualified Personnel
7. Representations
8. Indemnification and General Liability
9. Liability of County
10. Independent Contractor; Payment of Taxes, and Other Expenses
11. Insurance (*see the Agreement's Appendix C for specific coverage requirements*)
12. Suspension of Services
13. Termination of Agreement for Cause
14. Termination of Agreement for Convenience
15. Conflicts of Interest/Other Agreements
16. Proprietary or Confidential Information of County; Publicity
17. Notice to the Parties
18. Ownership of Results/Work for Hire
19. Audit and Inspection Records
20. Subcontracting/Assignment/County Employees
21. Non-Discrimination, Equal Employment Opportunity, and Business Practices
22. Drug-Free Workplace Policy
23. Compliance with Americans with Disabilities Act
24. Debarment and Suspension (*see the Agreement's Appendix D for the required certification form*)
25. Small, Local, and Emerging Business (SLEB) Participation
26. First Source Program
27. Disputes

28. Agreement Made in California; Venue
29. Compliance with Laws
30. Construction
31. Miscellaneous
32. Entire Agreement; Modification of Agreement
33. Labor Code Requirements

In addition, you should review the agreement's Appendices for supplementary requirements, including the County's contract compliance reporting requirements (*Agreement Appendix E*).

Additional County policy requirements can be found at the webpages below:

1. *Alameda County's General Policy Requirements*

<https://gsa.acgov.org/do-business-with-us/contracting-opportunities/policies-procedures/general-requirements/>

2. *Alameda County's General Environmental Requirements*

<https://gsa.acgov.org/do-business-with-us/contracting-opportunities/policies-procedures/general-environmental-requirements/>

B. Project Specific

Specific terms and conditions presented in this section will supplement or supersede those of the standard agreement.

1. Contract Term and Renewal

The contract that may be awarded as a result of this RFQ is expected to span a period of (3) three years. By mutual agreement this period may be extended for an additional (2) two years at agreed prices with all other terms and conditions remaining the same.

2. Pricing

A sealed cost proposal with fee schedule will be requested of those who are invited to participate in the oral interview/presentations, see Attachment F.

Provide cost proposal with fee schedule to the interviewer in a sealed envelope on the day of interview. Provide hourly rates for all your office and sub-consultant job classifications for the proposed staff members who will be on the team.

Final scope of work and contract price will be determined during negotiations.

All pricing as quoted will remain firm for the initial term of the contract that may be awarded as a result of this RFQ. Any price increases or decreases for subsequent contract terms may be negotiated between the Consultant and the District only after the completion of the initial term.

The total price shall be divided and costs advised for each task listed in Section I.C, "Services Needed."

Price quotes shall include any and all payment incentives available to the District.

Federal and State minimum wage laws apply. The Agency has no requirements for living wages. As described below, the Consultant must comply with requirements for prevailing wages.

3. County Requirements

Local Participation: Note that it is a requirement for award that all contracts such as this one include local (defined as Alameda County based) businesses to the maximum extent possible consistent with the nature of the services to be provided. The County Small Local and Emerging Business (SLEB) Program requires that to be awarded this contract the lead firm must be a SLEB or, if the lead firm is not a SLEB, the lead firm must partner with SLEBs to the maximum extent reasonable and possible, with a minimum of 20% SLEB participation required.

- a. Small, Local & Emerging Business Program: The County is vitally interested in promoting the growth of small and emerging local businesses by means of increasing the participation of these businesses in the County's purchase of goods and services. Because of the County's commitment to advance the economic opportunities of these businesses, firms must meet the County's Small, Local & Emerging Business Program requirements in order to be considered for the contract award. These requirements can be found online at: <http://acgov.org/auditor/sleb/overview.htm>.

For purposes of this RFQ, applicable industries include, but are not limited to, the following NAICS Code(s): 541330 Engineering Services

A small business is defined by the [United States Small Business Administration](#) (SBA) as having no more than the number of employees or average annual gross receipts over the last three (3) years required per SBA standards based on the small business's appropriate NAICS code.

An emerging business, as defined by the County, is one that has less than one-half (1/2) of the preceding amount and has been in business less than five (5) years.

- b. Compliance with the SLEB program is required for goods, services and professional services contracts, including but not limited to architectural, landscape architectural, engineering, environmental, land surveying, and construction project management services projects.
- c. Alameda County utilizes the Elation Systems contract compliance application as part of its commitment to assist contractors to conveniently comply with legal and contractual requirements. Elation Systems, a secure web-based system, was implemented to monitor compliance and to track and report SLEB participation in County contracts.
- d. The prime contractor and all participating local and SLEB subcontractors awarded contracts as a result of this bid process for this project are required to use Elation to submit SLEB Program information including, but not limited to, monthly progress payment reports and other information related to SLEB participation. Use of Elation Systems, support and training is available at no charge to prime and subcontractors participating in County contracts.

Upon contract award:

1. The County will provide contractors and subcontractors participating in any contract awarded as a result of this bid process, a code that will allow them to register and use Elation Systems free of charge.
2. Contractors should schedule a representative from their office/company, along with each of their subcontractors, to attend Elation training.

Free multi-agency Elation Systems one-hour training sessions require reservations and are held monthly in the Pleasanton, California area.

It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize Elation Systems. For further information, please contact Elation Systems at (925) 924-0340.

If you have any other questions regarding the utilization of Elation Systems please contact the Auditor-Controller's Office of Contract Compliance (OCC) located at 1221 Oak Street, Room 249, Oakland, CA 94612 at Tel: (510) 891-5500, Fax: (510) 272-6502 or via E-mail at ACSLEBcompliance@acgov.org.

- e. Compliance Information and Records: As needed and upon request, for the purposes of determining compliance with the SLEB Program, the Contractor shall provide the County with access to all records and documents that relate to SLEB participation and/or certification. Proprietary information will be safeguarded. All subcontractor Submittals must be through the prime contractor.

4. Labor Code Requirements:

Department of Industrial Relations Registration: A contractor or subcontractor shall not be qualified to bid on, be listed in a bid proposal, or engage in the performance of any contract for public work, as defined in this chapter, unless registered and qualified to perform public work pursuant to Labor Code Section 1725.5.

Prevailing Wages: Pursuant to Labor Code Sections 1770 et seq., Contractor shall pay to persons performing labor in and about Work provided for in Contract not less than the general prevailing rate of per diem wages for work of a similar character in the locality in which the Work is performed, and not less than the general prevailing rate of per diem wages for legal holiday and overtime work in said locality, which per diem wages shall not be less than the stipulated rates contained in a schedule thereof which has been ascertained and determined by the Director of the State Department of Industrial Relations to be the general prevailing rate of per diem wages for each craft or type of workman or mechanic needed to execute this contract.

* * * END OF REQUEST FOR QUALIFICATIONS * * *

This page left intentionally blank.

ATTACHMENT A – RFQ and Addendum Acknowledgement

The Alameda County Flood Control and Water Conservation District (District) is soliciting proposals from qualified firms to furnish its requirements per the specifications, terms and conditions contained in the above referenced RFQ. This Proposal Acknowledgement must be completed, signed by a responsible officer or employee, dated and submitted with the proposal response. Obligations assumed by such signature must be fulfilled.

1. **Preparation of proposals:** (a) All proposal responses must be printed in ink or typewritten. No erasures permitted. Errors may be crossed out and corrections printed in ink or typewritten adjacent and must be initialed in ink by person signing proposal. No alterations or changes of any kind shall be permitted to Exhibits attached herein unless indicated otherwise in writing. Responses that do not comply shall be subject to rejection in total.
2. **Award:** (a) Unless otherwise specified by the proposer or the RFQ gives notice of an all-or-none award, the District may accept any item or group of items of any proposal. (b) Proposals are subject to acceptance at any time within thirty (30) days of opening, unless otherwise specified in the RFQ/SOQ. The contract shall be interpreted, construed and given effect in all respects according to the laws of the State of California.
3. **Patent indemnity:** Firms who do business with the District shall hold the District, County of Alameda, its officers, agents and employees, harmless from liability of any nature or kind, including cost and expenses, for infringement or use of any patent, copyright or other proprietary right, secret process, patented or unpatented invention, article or appliance furnished or used in connection with the contract or purchase order.
4. **California Government Code Section 4552:** In submitting a proposal to a public purchasing body, the proposer offers and agrees that if the proposal is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2, commencing with Section 16700, of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the proposer for sale to the purchasing body pursuant to the proposal. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the proposer.
5. **Addendum Acknowledgement:** The Proposer has verified that the following is a complete list of addenda issued prior to the submittal deadline. Receipt of the following addenda is hereby acknowledged and all changes have been incorporated in the Proposal. Failure to acknowledge will cause the Proposal to be considered non-responsive.

Addendum No. ____, dated _____

Addendum No. ____, dated _____

Addendum No. ____, dated _____

Addendum No. ____, dated _____

The undersigned acknowledges receipt of above referenced RFQ and/or Addenda and offers and agrees to furnish the articles and/or services specified on behalf of the firm indicated below, in accordance with the specifications, terms and conditions of this RFQ Acknowledgement.

| |
|--------------------------------------------------------|
| Firm: |
| Address: |
| State/Zip |
| What advertising source(s) made you aware of this RFQ? |

Signature: _____

Date: _____

Print Name: _____

Phone: _____

Title: _____

This page left intentionally blank.

ATTACHMENT B – IRAN CONTRACTING ACT COMPLIANCE CERTIFICATE
(for contracts of \$1,000,000 or more)

COUNTY OF ALAMEDA

The Iran Contracting Act (ICA) of 2010

The California Legislature adopted the Iran Contracting Act (ICA) to respond to policies of Iran in a uniform fashion (PCC § 2201(q)). The ICA prohibits persons engaged in investment activities in Iran from bidding on, submitting proposals for, or entering into or renewing contracts with public entities for goods and services of one million dollars (\$1,000,000) or more (PCC § 2203(a)). A person who “engages in investment activities in Iran” is defined in either of two ways:

1. The person provides goods or services of twenty million dollars (\$20,000,000) or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
2. The person is a financial institution (as that term is defined in 50 U.S.C. § 1701) that extends twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created by the California Department of General Services (DGS) pursuant to PCC § 2201(b) as a person engaging in the investment activities described in paragraph 1 above.

By signing below, I hereby certify that as of the time of bidding or proposing for a new contract or renewal of an existing contract, neither I nor the company I own or work for are identified on the DGS list of ineligible persons and neither I nor the company I own or work for are engaged in investment activities in Iran in violation of the Iran Contracting Act of 2010.

If either I or the company I own or work for are ineligible to bid or submit a proposal or to renew a contract, but I believe I or it qualifies for an exception listed in PCC § 2202(c), I have described in detail the nature of the exception:

_____.

FIRM NAME: _____

PRINCIPAL: _____ TITLE: _____

SIGNATURE: _____ DATE: _____

This page left intentionally blank.

ATTACHMENT C – Exceptions and Amendments**Proposer's Name:** _____

In the table below, list any requests for exceptions and amendments to the RFQ and associated documents, and then submit this sheet with the proposal.

The District is under no obligation to accept any exceptions and such exceptions may be a basis for proposal disqualification.

| Reference to: | | | Description |
|---------------|---------|----------|----------------------------------|
| Page No. | Section | Item No. | |
| p. 18 | D | 1.d. | Consultant takes exception to... |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

*Print additional pages as necessary

This page left intentionally blank.

ATTACHMENT D – “Draft” Standard Professional Services Agreement

Professional Services Agreement

with

(CONSULTANT NAME)

for the

SERVICES

FOR

Contract No. _____

This page left intentionally blank.

Alameda County Flood Control and Water Conservation District

AGREEMENT BETWEEN THE ALAMEDA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT AND (CONSULTANT NAME)

This Agreement is made this (Day) of _____, 2020, in the City of Oakland, State of California, by and between (CONSULTANT NAME), (CONSULTANT ADDRESS), hereinafter referred to as "Consultant" and the Alameda County Flood Control and Water Conservation District, a political subdivision of the State of California, hereinafter referred to as "District."

AGREEMENT

1. Definitions

Where any word or phrase defined below, or a pronoun used in place thereof, is used in any part of this Agreement, it shall have the meaning herein set forth.

| | |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Agreement | This Agreement together with all attachments and appendices and other documents incorporated herein by reference, including, but not limited to, Appendices "A", "B", "C", "D", "E", and "F" attached hereto. |
| CONSULTANT | (Consultant) |
| COUNTY | Alameda |
| DISTRICT | Alameda County Flood Control and Water Conservation District |
| Project | The DISTRICT's project - (Project Title) - as further described in Appendix "A", Scope of Services. |
| Services | All work, labor, materials and services required under the terms and conditions of this Agreement, provided pursuant to the terms and conditions of this Agreement, including without limitation architectural, engineering, coordination and administrative services. |
| Subconsultants | Consultant's consultants, subconsultants, contractors and subcontractors, of any tier. |

2. Term of Agreement

All work comprising the Services shall be deemed performed under this Agreement. The contract period will be from (Day, Month, Year) through (Day, Month, Year).

3. Services Consultant Agrees to Perform

- 3.1 Consultant shall perform all Services described in Appendix "A", "Services to be Provided by Consultant", attached hereto and incorporated by reference as though fully set forth herein.
- 3.2 Consultant shall complete all Services required by this Agreement within the times specified in the Milestone Schedule in Appendix "A". Consultant agrees that the Milestone Schedule includes reasonable allowances for completion of the Services, including all time required for District's review and approval of deliverables and for approval of the deliverables by all authorities having jurisdiction over the Project and the Services. Consultant shall achieve its scheduled Milestones (as shown on the Milestone Schedule) unless an excusable event causes delay (excusable delay), and unless Consultant gives written notice of the excusable event and requests a time extension within ten days of the occurrence of the excusable event. (Excusable events shall be limited to acts of neglect by District or District's agents or consultants when acting at District's direction, breaches of this Agreement by

District, Acts of God such as fire, flood, earthquake, or epidemic, or delay by a construction contractor during the construction phase of the Project, or any other circumstances beyond Consultant's reasonable control). If the period of excusable delay caused by an excusable event concurs with a Consultant-caused or other non-excusable delay, District may (but shall not be required to) grant a time extension without compensation.

- 3.3 Consultant may recover extra costs resulting from excusable delay upon showing that the costs claimed (i) resulted from time and/or expenses actually incurred in performing Services, (ii) were incurred by Consultant as a direct result of the delay and not otherwise within Consultant's scope of Services, and (iii) are documented to the District's satisfaction. (For example, and not by way of limitation, contract punch list, and final inspection Services, whenever performed, and Services related to correcting deficiencies in Consultant's work, shall not entitle Consultant to extra costs.)
- 3.4 Should the progress of the Services under this Agreement at any time fall behind schedule for any reason other than excusable delays, Consultant shall apply such additional manpower and resources as necessary to bring progress of the Services under this Agreement back on schedule and consistent with the standard of professional skill and care required by this Agreement. Time is of critical importance in the performance of this Agreement.

4. Compensation

- 4.1 District shall pay Consultant compensation according to the Compensation Schedule established in Appendix "B", Payments to Consultant. District shall pay Consultant in monthly payments on or before the last day of each month for Services properly invoiced by the Consultant which have been properly performed as of the last day of the immediately preceding month and is due under Appendix "B".
- 4.2 District shall not incur any charges under this Agreement, nor shall any payments become due to Consultant for any payment period on the Project, until District receives all deliverables required under Appendix "A" for the payment period (if any) and reasonably accepts such deliverables as meeting the requirements of this Agreement. In cases where Consultant has partially completed one or more deliverables due during a payment period, and if Consultant demonstrates diligent progress thereon, then District may make a partial progress payment based upon Consultant's percentage completion of the partially completed deliverables and diligent progress but taking into account any adverse impacts upon District.
- 4.3 District will not withhold entire payment if a questioned amount is involved, but will issue payment in the amount of the total invoice less any questioned amount(s). District will make payment for questioned amount(s) upon District's receipt of any requested documentation verifying the claimed amount(s) and District's determination that the amount is due under the terms of this Agreement. District shall advise Consultant, in writing, within 15 days of receipt of the requested documentation. Final payment will be made when all Services required under this Agreement have been completed to the reasonable satisfaction of District including, without limitation, Consultant's transmittal of all deliverables to District required by Appendix "A".
- 4.4 Invoices furnished by Consultant under this Agreement must be in a form acceptable to District. All amounts paid by District to Consultant shall be subject to audit by District. Payment shall be made by District to Consultant at the address stated hereinabove.
- 4.5 District may set off against payments due Consultant under this Agreement any sums that District determines that Consultant owes to District because of Consultant's errors, omissions, breaches of this Agreement, delays or other acts which caused District monetary damages. Prior to exercising such right, District must demand and attend mediation pursuant to Section 27.3 of this Agreement, to be attended by District, Consultant, and any applicable insurance carriers; such mediation to occur within 30 days of demand. If the parties cannot agree upon the time, place, and mediator, within one week of the District's demand, then the Alameda County Superior Court may upon application by any party make such selection for the parties. If a party other than District refuses to mediate under this Section, then District shall have satisfied its obligations under this Section.

5. Maximum Costs

- 5.1 District's obligation hereunder shall not at any time exceed the amount approved by the Board of Supervisors for payment to the Consultant pursuant to the terms of this Agreement.

- 5.2 Except as may be provided by applicable law governing emergency conditions, District has not authorized its employees, officers and agents to request Consultant to perform Services or to provide materials, equipment and supplies that would result in Consultant performing Services or providing materials, equipment and supplies that exceed the scope of the Services, materials, equipment and supplies agreed upon in the Agreement unless the District amends the Agreement in writing and approves the amendment as required by law to authorize the additional Services, materials, equipment or supplies.
- 5.3 District shall not reimburse Consultant for Services, materials, equipment or supplies provided by Consultant beyond the scope of the Services, materials, equipment and supplies agreed upon in the Agreement and unless approved by a written amendment to the Agreement having been executed and approved in the same manner as this Agreement.

6. Qualified Personnel

- 6.1 For purposes of this Agreement, except for notices specified under Section 17 below, District shall direct all communications to Consultant through (Consultant's Project Manager's full name and address); and Consultant shall direct all communications to District through District Project Engineer.
- 6.2 Services under this Agreement shall be performed only by competent personnel under the supervision of and/or in the employment of Consultant. Consultant shall conform with District's reasonable requests regarding assignment of personnel, but all personnel, including those assigned at District's request, shall be supervised by Consultant.
- 6.3 Consultant agrees that all professional personnel assigned to the Project will be listed in its proposal, Appendix "A", attached hereto and by this reference incorporated herein, and that the listed personnel will continue their assignments on the Project during the entire term of this Agreement. It is recognized that the listed personnel are not bound by personal employment contracts to Consultant. Consultant agrees that reassignment of any of the listed personnel during the Agreement period shall only be with other professional personnel who have equivalent experience and shall require the prior written approval of District. Any costs associated with reassignment of personnel shall be borne exclusively by Consultant.
- 6.4 Consultant agrees that should the above personnel not continue their assignments on the Project during the entire term of this Agreement, then Consultant shall not charge District for the cost of training or "bringing up to speed" replacement personnel. District may condition its reasonable approval of substitution personnel upon a reasonable transition period wherein new personnel will learn the Project and get up to speed at Consultant's cost.

7. Representations

- 7.1 Consultant represents that it has reviewed Appendix "A", "Services to be Provided by Consultant", and that in its professional judgment the Services to be performed under this Agreement can be performed for a fee within the maximum amount set forth in the Compensation Schedule established in Appendix "B", Payments to Consultant, and within the times specified in the Milestone Schedule.
- 7.2 Consultant represents that it is qualified to perform the Services and that it possesses the necessary licenses and/or permits required to perform the Services or will obtain such licenses and/or permits prior to time such licenses and/or permits are required. Consultant also represents that it has reasonable knowledge of all applicable building codes, laws, regulations and ordinances.
- 7.3 Consultant represents that it and its subconsultants have specialized expertise in engineering services similar to those intended for the Project. Consultant agrees that the Services shall be performed in a manner that conforms to the standards of engineering practice observed by a specialist in performing services similar to the Services. Consultant agrees that for a period of one year after the completion of the Services or at the final acceptance of the construction resulting from the Services, whichever is later, it will re-perform or replace any part or all of the Services deemed by District to be defective and/or not meeting the above standard.
- 7.4 The granting of any progress payment by District, or the receipt thereof by Consultant, or any inspection, review, approval or oral statement by any representative of District or any other governmental entity, shall in no way waive or limit the obligations in this Section 7 or lessen the liability of Consultant to re-perform or replace unsatisfactory Services to the extent required by Section 7.3 above, including but not limited to cases where the defective or below standard Services may not have been apparent or detected at the time of such payment, inspection, review or approval.

8. Indemnification and General Liability

- 8.1 To the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782.8), Consultant shall indemnify and hold harmless the District, and their officers, agents, departments, officials, representatives and employees (collectively "Indemnitees") from and against any and all claims, losses, damages, injuries (including, without limitation, injury to or death of an employee of Consultant or its Subconsultants), expenses, liabilities of every kind, nature and description (including, without limitation, incidental special and consequential damages, court costs, attorneys' fees, litigation expenses and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation) to the extent they arise from, or are brought for, or on account of any loss or cost arising out of, pertaining to, relating to or resulting from Consultant's negligence, recklessness, or willful misconduct in connection with the performance of any work performed under this Contract by the Consultants as a design professional; provided that this duty shall not apply to injuries or damages for which the District has been found in a court of competent jurisdiction to be solely liable by reason of its own negligence, recklessness or willful misconduct.
- 8.2 Consultant shall defend (with legal counsel reasonably acceptable to the District), indemnify and hold harmless the Indemnitees from all loss, cost, damage, expense, liability or claims, in law or in equity, including attorneys' fees, court costs, litigation expenses and fees of expert consultants or expert witnesses, that may at any time arise for any infringement of the patent rights, copyright, trade secret, trade name, trademark, service mark or any other proprietary right of any person or persons in consequence of the use by District, or any of the other Indemnitees, of Articles or Services to be supplied in the performance of this Agreement.
- 8.3 [Intentionally Omitted]
- 8.4 Consultant shall place in its subconsulting agreements and cause its Subconsultants to agree to indemnities and insurance obligations in favor of District and other Indemnitees in the exact form and substance of those contained in this Agreement. Consultant shall require all subconsultants to comply with all indemnification and insurance requirements of this Agreement, including, without limitation, Exhibit C. Consultant shall verify subconsultant's compliance.
- 8.5 District acknowledges that the discovery, presence, handling or removal of asbestos products, polychlorinated biphenyl (PCB) or other hazardous substances which may presently exist at the Project site is outside of Consultant's expertise and is not included in the scope of Services Consultant is to perform nor included in Consultant's insurance. District shall hire an expert consultant in this field if the Project involves such materials. Consultant shall not be responsible or be involved in any way with the discovery, presence, handling or removal of such materials. Consultant shall be responsible to coordinate with District's expert consultant as required by Appendix "A", Services To Be Provided By Consultant.

9. Liability of District

- 9.1 Except as provided in Appendix "A", Services to be Provided by Consultant, and Appendix "C", Insurance, District's obligations under this Agreement shall be limited to the payment of the compensation provided for in Sections 3, 4 and 5 of this Agreement.
- 9.2 Notwithstanding any other provision of this Agreement, in no event shall District be liable, regardless of whether any claim is based on contract or tort, for any special, consequential, indirect or incidental damages, including, but not limited to, lost profits or revenue, arising out of or in connection with this Agreement or the Services performed in connection with this Agreement.
- 9.3 District shall not be responsible for any damage to persons or property as a result of the use, misuse or failure of any equipment used by Consultant, or by any of its employees, even though such equipment be furnished, rented or loaned to Consultant by District. The acceptance or use of such equipment by Consultant or any of its employees shall be construed to mean that Consultant accepts full responsibility for and agrees to exonerate, indemnify, defend and save harmless District from and against any and all claims for any damage or injury of any type, including attorneys' fees, arising from the use, misuse or failure of such equipment, whether such damage be to the Consultant, its employees,

District employees or third parties, or to property belonging to any of the above.

- 9.4 Nothing in this Agreement shall constitute a waiver or limitation of any right or remedy, whether in equity or at law, which District may have under this Agreement or any applicable law. All rights and remedies of District, whether under this Agreement or other applicable law, shall be cumulative.

10. Independent Contractor; Payment of Taxes, and Other Expenses

- 10.1 Consultant shall be deemed at all times to be an independent contractor and shall be wholly responsible for the manner in which Consultant performs the Services required of Consultant by the terms of this Agreement. Consultant shall be liable for the acts and omissions of its Subconsultants, its employees and its agents.
- 10.2 Nothing contained herein shall be construed as creating an employment, agency or joint venture relationship between District and Consultant. Consultant acknowledges that neither it nor any of its employees or agents shall, for any purpose whatsoever, be deemed to be District employees, and shall not be entitled to receive any benefits conferred on District employees, including without limitation workers' compensation, pension, health, insurance or other benefits.
- 10.3 Consultant shall be solely responsible for payment of any required taxes, including California sales and use taxes, City of Oakland business taxes and United States income tax withholding and social security taxes, levied upon this Agreement, the transaction, or the Services delivered pursuant hereto.
- 10.4 Consultant shall be available as much as reasonably possible to District staff during the District's normal working hours or as otherwise requested by District. Terms in this Agreement referring to direction from District shall be construed as providing for direction as to policy and the result of Consultant's Services only and not as to the means by which such a result is obtained.
- 10.5 Nothing in this Agreement shall operate to confer rights or benefits on persons or entities who are not parties to this Agreement.

11. Insurance

- 11.1 Prior to execution of this Contract, Consultant shall furnish to District satisfactory proof that it maintains the insurance required by this Contract as set forth in Appendix C "Insurance," which is attached and made a part of this Contract. In the event Consultant fails to maintain any required insurance, District may (but is not obligated to) purchase such insurance and deduct or retain premium amounts from any sums due Consultant under this Contract (or Consultant shall promptly reimburse District for such expense).

12. Suspension of Services

- 12.1 District may, without cause, order Consultant to suspend, delay or interrupt ("suspend") Services pursuant to this Agreement, in whole or in part, for such periods of time as District may determine in its sole discretion. District shall deliver to Consultant written notice of the extent of the suspension at least seven (7) calendar days before the commencement thereof. Suspension shall be treated as an excusable delay and Consultant shall be compensated for such delay to the extent provided under this Agreement.
- 12.2 Notwithstanding anything to the contrary contained in this Section, no compensation shall be made to the extent that performance is, was or would have been so suspended, delayed or interrupted by a cause for which Consultant is responsible.

13. Termination of Agreement for Cause

- 13.1 If at any time District believes Consultant may not be adequately performing its obligations under this Agreement, that Consultant may fail to complete the Services as required by this Agreement, or has provided written notice of observed deficiencies in Consultant's performance, District may request from Consultant prompt written assurances of performance and a written plan to correct the observed deficiencies in Consultant's performance. Consultant shall provide such written assurances and written plan within ten calendar days of receipt of written request. Consultant acknowledges and agrees that any failure to provide written assurances and a written plan to correct observed deficiencies, in the required time, is a material breach under this Agreement.

13.2 ~~Consultant shall be in default of this Agreement and District may, in addition to any other legal or~~
Alameda County Flood Control and Water Conservation District

equitable remedies available to District, terminate Consultant's right to proceed under the Agreement, for cause:

- 13.2.1 Should Consultant make an assignment for the benefit of creditors, admit in writing its inability to pay its debts as they become due, file a voluntary petition in bankruptcy, be adjudged a bankrupt or insolvent, file a petition or answer seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar relief under any present or future statute, law, or regulation, file any answer admitting or not contesting the material allegations of a petition filed against Consultant in any such proceeding, or seek, consent to, or acquiesce in, the appointment of any trustee, receiver, custodian or liquidator of Consultant or of all or any substantial part of the properties of Consultant, or if Consultant, its directors or shareholders, take action to dissolve or liquidate Consultant; or
- 13.2.2 Should Consultant commit a material breach of this Agreement and not cure such breach within ten (10) calendar days of the date of written notice from District to Consultant demanding such cure; or, if such failure is curable but not curable within such ten (10) day period, within such period of time as is reasonably necessary to accomplish such cure. (In order for Consultant to avail itself of this time period in excess of 10 calendar days, Consultant must provide District within the 10 day period a written plan acceptable to District to cure said breach, and then diligently commence and continue such cure according to the written plan); or
- 13.2.3 Should Consultant violate or allow a violation of any valid law, statute, regulation, rule, ordinance, permit, license or order of any governmental agency in effect at the time of performance of the Services and applicable to the Project or Services and does not cure such violation within ten (10) days of the date of the notice from District to Consultant demanding such cure; or, if such failure is curable but not curable within such ten (10) day period, within such period of time as is reasonably necessary to accomplish such cure. (In order for Consultant to avail itself of this time period in excess of 10 calendar days, Consultant must provide District within the 10 day period a written plan to cure said violation acceptable to District, and then diligently commence and continue performance of such cure according to the written plan.)
- 13.3 In the event of termination by District as provided herein for cause:
- 13.3.1 District shall compensate Consultant for the value of the Services delivered to District upon termination as determined in accordance with the Agreement, subject to all rights of offset and back charges, but District shall not compensate Consultant for its costs in terminating the Services or any cancellation charges owed to third parties;
- 13.3.2 Consultant shall deliver to District possession of all tangible aspects of the Services in their then condition, including but not limited to, all copies (electronic and hard copy) of designs, engineering, Project records, cost data of all types, drawings and specifications and contracts with vendors and Subconsultants, and all other documentation associated with the Project, and all supplies and aids dedicated solely to performing Services which, in the normal course of the Services, would be consumed or only have salvage value at the end of the Services period.
- 13.3.3 Consultant shall remain fully liable for the failure of any Services completed and drawings and specifications provided through the date of such termination to comply with the provisions of the Agreement. The provisions of this Section shall not be interpreted to diminish any right which District may have to claim and recover damages for any breach of this Agreement, but rather, Consultant shall compensate District for all loss, cost, damage, expense, and/or liability suffered by District as a result of such termination and failure to comply with the Agreement.
- 13.4 In the event a termination for cause is determined to have been made wrongfully or without cause, then the termination shall be treated as a termination for convenience, and Consultant shall have no greater rights than it would have had if a termination for convenience had been effected in the first instance. No other loss, cost, damage, expense, or liability may be claimed, requested, or recovered by Consultant.

14. Termination of Agreement for Convenience

- 14.1 District may terminate performance of the Services under the Agreement in accordance with this Section in whole, or from time to time in part, whenever District shall determine that termination is in the District's best interests. Termination shall be effected by District delivering to Consultant, at least seven (7) calendar days prior to the effective date of the termination, a Notice of Termination specifying the extent to which performance of the Services under the Agreement is terminated.
- 14.2 After receipt of a Notice of Termination, and except as otherwise directed by District, Consultant shall:
- 14.2.1 Stop Services under the Agreement on the date and to the extent specified in the Notice of Termination;
 - 14.2.2 Place no further orders or subcontracts (including agreements with Subconsultants) for materials, Services, or facilities except as necessary to complete the portion of the Services under the Agreement which is not terminated;
 - 14.2.3 Terminate all orders and subcontracts to the extent that they relate to performance of Services terminated by the Notice of Termination;
 - 14.2.4 Assign to District in the manner, at times, and to the extent directed by District, all right, title, and interest of Consultant under orders and subcontracts so terminated. District shall have the right, in its discretion, to settle or pay any or all claims arising out of termination of orders and subcontracts;
 - 14.2.5 Settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, with approval or ratification of District to the extent District may require. District's approval or ratification shall be final for purposes of this clause;
 - 14.2.6 Transfer title and possession to District, and execute all required documents and take all required actions to deliver in the manner, at times, and to the extent, if any, directed by District, completed and uncompleted designs and specifications, Services in process, completed Services, supplies, and other material produced or fabricated as part of, or acquired in connection with performance of, Services terminated by the Notice of Termination (including mockups and model(s)), completed or partially completed plans, drawings, information, in whatever form (i.e., hard-copy and electronic), all intellectual property rights (including without limitation, to the extent applicable, all licenses and copyright, trademark and patent rights) and all other property and property rights which, if the Agreement had been completed, would have been required to be furnished to District.
 - 14.2.7 Use its best efforts to assist District in selling, in the manner, at times, to the extent, and at a price or prices that District directs or authorizes, any property of the types referred to in Section 14.2.6, but Consultant shall not be required to extend credit to any purchaser, and may acquire any such property under conditions prescribed and at a price or prices approved by District. All proceeds from the foregoing shall be applied to reduce payments to be made by District to Consultant under this Agreement, shall otherwise be credited to the price or cost of Services covered by this Agreement or be paid in such other manner as District may direct;
 - 14.2.8 Complete performance of any part of the Services which were not terminated by the Notice of Termination; and
 - 14.2.9 Take such action as may be necessary, or as District may direct, for the protection and preservation of property related to this Agreement which is in Consultant's possession and in which District has or may acquire an interest.
- 14.3 After receiving a Notice of Termination, Consultant shall submit to District a termination claim, in the form and with the certification District prescribes. The claim shall be submitted promptly but in no event later than 3 months from the effective date of the termination, unless one or more extensions in writing are granted by District upon Consultant's written request made within such 3-month period or authorized extension. However, if District determines that facts justify such action, it may receive and act upon any such termination claim at any time after such 3-month period or extension. If Consultant fails to submit the termination claim within the time allowed, District may determine, on basis of information available to it, the amount, if any, due to Consultant because of the termination. District shall then pay to Consultant the amount so determined.
- 14.4 Subject to provisions of Section 14.3, Consultant and District may agree upon the whole or part of the amount or amounts to be paid to Consultant because of any termination of Services under this Section. The amount or amounts may include a reasonable allowance for profit on Services done. However,

such agreed amount or amounts, exclusive of settlement costs, shall not exceed the total Agreement price as reduced by the amount of payments otherwise made and as further reduced by the Agreement price of Services terminated. The Agreement may be amended accordingly, and Consultant shall be paid the agreed amount.

- 14.5 If Consultant and District fail, under Section 14.4, to agree on the whole amount to be paid to Consultant because of termination of Services under this Section, then Consultant's entitlement to compensation for Services specified in the Agreement which are performed before the effective date of Notice of Termination, shall be the total (without duplication of any items) of

14.5.1 Reasonable value of Consultant's Services performed prior to Notice of Termination, based on Consultant's entitlement to compensation under Appendix "B", "Payments to Consultant". Such amount or amounts shall not exceed the total Agreement price as reduced by the amount of payments otherwise made and as further reduced by the Agreement value of Services terminated. Deductions against such amount or amounts shall be made for deficiently performed Services, rework caused by deficiently performed Services, cost of materials to be retained by Consultant, amounts realized by sale of materials, and for other appropriate credits against cost of Services. Such amount or amounts may include profit, but not in excess of 10 percent of Consultant's total costs of performing the Services.

14.5.2 When, in opinion of District, the cost of any item of Services is excessively high due to costs incurred to remedy or replace defective or rejected Services (including having to re-perform Services), reasonable cost to be allowed will be the estimated reasonable cost of performing Services in compliance with the requirements of Agreement and excessive actual cost shall be disallowed.

14.5.3 Reasonable cost to Consultant of handling material returned to vendors, delivered to District or otherwise disposed of as directed by District.

- 14.6 Except as provided in this Agreement, in no event shall District be liable for costs incurred by Consultant (or Subconsultants) after receipt of a Notice of Termination. Such non-recoverable costs include, but are not limited to, anticipated profits on the Agreement or subcontracts, post-termination employee salaries, post-termination administrative expenses, post-termination overhead or unabsorbed overhead, costs of preparing and submitting claims or proposals, attorney's fees or other costs relating to prosecution of the claim or a lawsuit, pre-judgment interest, or any other expense which is not reasonable or authorized under Section 14.5.

- 14.7 This section shall not prohibit Consultant from recovering costs necessary to discontinue further Services under the Agreement as provided for in Section 14.2 or costs authorized by District to settle claims from Subconsultants.

- 14.8 In arriving at amount due Consultant under this Section there shall be deducted:

14.8.1 All unliquidated advance or other payments on account theretofore made to Consultant, applicable to the terminated portion of Agreement,

14.8.2 Any substantiated claim which District may have against Consultant in connection with this Agreement, and

14.8.3 The agreed price for, or proceeds of sale of, any materials, supplies, or other things kept by Consultant or sold under the provisions of this Section, and not otherwise recovered by or credited to District.

- 14.9 If the termination for convenience hereunder is partial, before settlement of the terminated portion of this Agreement, Consultant may file with District a request in writing for equitable adjustment of price or prices specified in the Agreement relating to the portion of this Agreement which is not terminated. District may, but shall not be required to, agree on any such equitable adjustment. Nothing contained herein shall limit the right of District and Consultant to agree upon amount or amounts to be paid to Consultant for completing the continued portion of the Agreement when the Agreement does not contain an established price for the continued portion. Nothing contained herein shall limit District's rights and remedies at law.

15. Conflicts of Interest/Other Agreements

- 15.1 Consultant represents that it is familiar with Section 1090 and Section 87100 et seq. of the Government Code of the State of California, and that it does not know of any facts that constitute a violation of said

sections.

- 15.2 Consultant represents that it has completely disclosed to District all facts bearing upon any possible interests, direct or indirect, which Consultant believes any member of District, or other officer, agent or employee of District or any department presently has, or will have, in this Agreement, or in the performance thereof, or in any portion of the profits thereunder. Willful failure to make such disclosure, if any, shall constitute ground for termination of this Agreement by District for cause. Consultant agrees to comply with all conflict of interest codes adopted by the County of Alameda and their reporting requirements.
- 15.3 Consultant covenants that it presently has no interest, and shall not have any interest, direct or indirect, which would conflict in any manner with the performance of Services required under this Agreement. Without limitation, Consultant represents to and agrees with the District that Consultant has no present, and will have no future, conflict of interest between providing the District the Services hereunder and any interest Consultant may presently have, or will have in the future, with respect to any other person or entity (including but not limited to any federal or state wildlife, environmental or regulatory agency) which has any interest adverse or potentially adverse to the District, as determined in the reasonable judgment of the District. The provisions of this Section 15 shall remain fully effective indefinitely after termination of Services to the District hereunder.

16. Proprietary or Confidential Information of District; Publicity

- 16.1 Consultant acknowledges and agrees that, in the performance of the Services under this Agreement or in the contemplation thereof, Consultant may have access to private or confidential information which may be owned or controlled by District and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to District. Consultant agrees that all information disclosed by District to or discovered by Consultant shall be held in strict confidence and used only in performance of the Agreement. Consultant shall exercise the same standard of care to protect such information as a reasonably prudent Consultant would use to protect its own proprietary data, and shall not accept employment adverse to the District's interests where such confidential information could be used adversely to the District's interests. Consultant agrees to notify the District immediately in writing if it is requested to disclose any information made known to or discovered by Consultant during the performance of or in connection with this Agreement.
- 16.2 Any publicity or press releases with respect to the Project or Services shall be under the District's sole discretion and control. Consultant shall not discuss the Services or Project, or matters pertaining thereto, with the public press, representatives of the public media, public bodies, or representatives of public bodies, without District's prior written consent. Consultant shall have the right, however, without District's further consent, to include representations of Services among Consultant's promotional and professional material, and to communicate with persons or public bodies where necessary to perform under this Agreement.
- 16.3 The provisions of this Section 16 shall remain fully effective indefinitely after termination of Services to the District hereunder.

17. Notice to the Parties

- 17.1 Notices. All notices (including requests, demands, approvals, or other communications) under this Agreement shall be in writing.

17.1.1 Method of Delivery. Notice shall be sufficiently given for all purposes as follows:

- (a) When personally delivered to the recipient, notice is effective on delivery.
- (b) When mailed first class to the last address of the recipient known to the party giving notice, notice is effective on delivery.
- (c) When mailed by certified mail with return receipt requested, notice is effective on receipt if delivery is confirmed by a return receipt.
- (d) When delivered by overnight delivery service, including Federal Express, Airborne, and United Parcel Service, with charges prepaid or charged to the

sender's account, notice is effective on delivery if delivery is confirmed by the delivery service.

- (e) When sent by fax to the last fax number of the recipient known to the party giving notice, notice is effective on receipt as long as (1) a duplicate copy of the notice is promptly given by first-class or certified mail or by overnight delivery or (2) the receiving party delivers a written confirmation of receipt. Any notice given by fax shall be considered to have been received on the next business day if it is received after 5 p.m. (recipient's time) or on a nonbusiness day.

17.1.2 Refused, Unclaimed or Undeliverable Notices. Any correctly addressed notice that is refused, unclaimed, or undeliverable because of an act or omission of the party to be notified shall be considered to be effective as of the first date that the notice was refused, unclaimed, or considered undeliverable by the postal authorities, messenger, or overnight delivery service.

17.1.3 Addresses. Addresses for the purpose of giving notice are set forth below. Either party may change its address or fax number by giving the other party notice of the change in any manner permitted by this paragraph 17.

To District:
Alameda County Flood Control and Water Conservation District
399 Elmhurst Street
Hayward, CA 94544
Attn: Flood Control Program Manager

To Consultant:
(Consultant's Project Manager's Full Name).
(Full Address)

17.1.4 Change of Recipient or Address. Either party may, by written notice given at any time or from time to time, require subsequent notices to be given to another individual person, whether a party or an officer or a representative, or to a different address, or both. Notices given before actual receipt of notice of change shall not be invalidated by the change.

18. Ownership of Results/Work for Hire

18.1 Any interest (including, but not limited to, property interests and copyright interests) of Consultant or its Subconsultants, in drawings, plans, specifications, studies, reports, memoranda, computational sheets or other documents (including but not limited to, electronic media) prepared by Consultant or its Subconsultants in connection with Services to be performed under this Agreement shall become the property of and will be transmitted to District at the conclusion of this Agreement. Consultant may, however, retain one copy for its files. Notwithstanding the foregoing, in the normal course of the Consultant's activities, Consultant shall have an unrestricted right to reuse its standard construction drawings, details, specifications and other related documents, including the right to retain electronic data or other reproducible copies thereof, and the right to reuse portions or the information contained in them which is incidental to the overall design of the Project. District shall indemnify, hold harmless and defend Consultant against any and all claims, liabilities, losses and costs arising from District's use of Consultant's documents on work for which Consultant is not retained.

18.2 Any and all artworks, copy, posters, billboards, photographs, videotapes, audiotapes, systems designs, software, reports, diagrams, surveys, source codes or any original works of authorship created by Consultant or its Subconsultants in connection with Services performed under this Agreement shall be Works for Hire as defined under Title 17 of the United States Code, and all copyrights in such works are the property of District. In the event that it is ever determined that any works created by Consultant or its Subconsultants under this Agreement are not Works for Hire under U.S. law, Consultant hereby assigns all copyrights to such works to District. With the prior written approval of the District, Consultant may retain and use copies of such works for reference and as documentation of its

experience and capabilities.

19. Audit and Inspection Records

- 19.1 Consultant shall maintain all drawings, specifications, calculations, cost estimates, quantity takeoffs, statements of construction costs and completion dates, schedules and all correspondence, internal memoranda, papers, writings, electronic media and documents of any sort prepared by or furnished to Consultant during the course of performing the Services and providing services with respect to the Project, for a period of at least five years following final completion and acceptance of the Project. All such records (except for materials subject to the attorney client privilege, if any) shall be available to District, and District's authorized agents, officers, and employees, upon request at reasonable times and places. Monthly records of Consultant's personnel costs, Consultant costs, and reimbursable expenses pertaining to both Basic Services and Additional Services shall be kept on a generally recognized accounting basis, and shall be available to District, and District's authorized agents, officers, and employees, upon request at reasonable times and places. Consultant shall not destroy any Project records until after advising District and allowing District to accept and store the records.
- 19.2 Consultant agrees to maintain full and adequate records in accordance with District requirements to show actual costs incurred by Consultant in its performance of this Agreement, and to make available to District during business hours accurate ledgers, books of accounts, invoices, vouchers, cancelled checks, and accounting and other books, records and documents evidencing or relating to all expenditures and disbursements charged to District or relative to Consultant's activities under this Agreement. Consultant will furnish to District, its authorized agents, officers and employees such other evidence or information as District may request with regard to any such expenditure or disbursement charged by Consultant. Consultant will permit District, and District's authorized agents, officers, and employees, to audit, examine and make copies, excerpts and transcripts from such items, and to make audits of all invoices, materials, payrolls, records or personnel and other data related to all other matters covered by this Agreement, whether funded in whole or in part under this Agreement.
- 19.3 Consultant shall maintain all items described in Sections 19.1 and 19.2 above in an accessible location and condition for a period of not less than five years after final completion and acceptance of the Project or until after final audit has been resolved, whichever is later. If such items are not kept and maintained by Consultant within a radius of fifty (50) miles from District's offices at 399 Elmhurst Street, Hayward, California, Consultant shall, upon District's request and at Consultant's sole cost and expense, make such items available to District, and District's authorized agents, officers, and employees, for inspection at a location within said fifty (50) mile radius, or Consultant shall pay District its reasonable and necessary costs incurred in inspecting Consultant's books and records, including, but not limited to, travel, lodging and subsistence costs. The State of California or any federal agency having an interest in the subject of this Agreement shall have the same rights conferred upon District by this Section.
- 19.4 The rights and obligations established pursuant to this Section shall be specifically enforceable and survive termination of this Agreement.

20. Subcontracting/Assignment/ District Employees

- 20.1 Consultant and District agree that Consultant's unique talents, knowledge and experience form a basis for this Agreement and that the services to be performed by Consultant under this Agreement are personal in character. Therefore, Consultant shall not subcontract, assign or delegate any portion of this Agreement or any duties or obligations hereunder unless approved by District in a written instrument executed and approved by the District in writing. Neither party shall, on the basis of this Agreement, contract on behalf of or in the name of the other party. Any agreement that violates this Section shall confer no rights on any party and shall be null and void.
- 20.2 Consultant shall use the Subconsultants for the scopes of work listed in Appendix A attached hereto, and shall not substitute Subconsultants unless approved by written instrument executed and approved by the District in writing.
- 20.3 To the extent Consultant is permitted by District in writing to subcontract, assign or delegate any portion of this Agreement or any duties or obligations hereunder, Consultant shall comply with all applicable prompt payment laws and regulations (including, without limitation, California Civil Code Section §3321. Consultant shall remain fully liable and responsible for all acts and omissions

of its Subconsultants in connection with the Services or the Project, as if it engaged in the acts and omissions directly.

- 20.4 Consultant shall not employ or engage, or attempt to employ or engage, any person who is or was employed by District or any department thereof at any time that this Agreement is in effect, during the term of this Agreement and for a period of two years after the termination of this Agreement or the completion of the Services, without the written consent of District.

21. SMALL LOCAL AND EMERGING BUSINESS (SLEB) PARTICIPATION:

OPTION 1: If Prime is subcontracting with SLEBs use provision below:

SMALL LOCAL AND EMERGING BUSINESS (SLEB) PARTICIPATION: Contractor shall subcontract with company name (street address, city, state; Principal name), for services to be provided under this Agreement in an amount equal to twenty percent (20%) (or adjust percentage if more than or less than 20%. If less than 20% a copy of approved GSA Waiver or Board approval is required) of the contract value of this Agreement in accordance with County's Small and Emerging Local Business provision, which includes but is not limited to:

- 25.1 SLEB subcontractor(s) is independently owned and operated (i.e., is not owned or operated in any way by Prime), nor do any employees of either entity work for the other.
- 25.2 As is applicable, Contractor shall ensure that the certification status of participating SLEB subcontractors is maintained in compliance with the SLEB Program for the term of this contract.
- 25.3 Contractor shall not substitute or add any small and/or emerging local business(s) listed in this agreement without prior written approval from the County. Said requests to substitute or add a small and/or emerging local business shall be submitted in writing to the County contract representative identified under Item #13 above. Contractor will not be able to substitute the subcontractor without prior written approval from the Alameda County Auditor–Controller Agency, Office of Contract Compliance (OCC).
- 25.4 All SLEB participation, except for SLEB prime contractor, must be tracked and monitored utilizing the Elation compliance System. Contractor and Contractor's small and/or emerging local businesses participating as subcontractors on the awarded contract are required to use the Elation web-based compliance system as described in Exhibit E (Contract Compliance Reporting Requirements) to report and validate payments made by Prime Contractors to the certified small and/or emerging local businesses. It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize the Elation compliance system. SLEB prime contractor with SLEB subcontractors must enter payments made to subcontractors in the Elation System and ensure that SLEB subcontractors confirm payments received.

District will be under no obligation to pay contractor for the percent committed to a SLEB subcontractor if the work is not performed by the listed small and/or emerging local business.

For further information regarding the Small Local Emerging Business participation requirements and utilization of the Alameda County Contract Compliance System contact OCC via e-mail at ACSLEBcompliance@acgov.org.

OPTION 2 – If Prime is a SLEB use provision below:

SMALL, LOCAL AND EMERGING BUSINESS (SLEB) PARTICIPATION: Contractor has been certified by the County as a small or emerging local business. As a result, there is no requirement to subcontract with another business in order to satisfy the County's Small and Emerging Locally owned Business provision. If during the term of this contract, Contractor's certification status changes, Contractor shall notify the District within three business days.

Should Contractor's status as a certified small or emerging local business change at any time during the term of this Agreement, Contractor shall negotiate with County to be in compliance with the County's Small and Emerging Local Business provision, including but not limited to:

- a. Contractor must subcontract a minimum 20% of the remaining contract value with a certified small or emerging local business(es).
- b. SLEB subcontractor(s) is independently owned and operated (i.e., is not owned or operated in any way by Prime), nor do any employees of either entity work for the other.
- c. As is applicable, Contractor shall ensure that their certification status is maintained in compliance with the SLEB Program for the term of this contract.
- d. For any subcontractors retained to comply with this provision, Contractor shall not substitute any such small and/or emerging local business(s) subcontractor without prior written approval from the County. Said requests to substitute shall be submitted in writing to the District's contract representative identified under Item #13 above. Contractor will not be able to substitute the subcontractor without prior written approval from the Alameda County Auditor Controller Agency, Office of Contract Compliance (OCC). Further approval from the Board of Supervisors may also be required.
- e. If subcontractors are added to the contract, all SLEB participation, except for prime contractor, must be tracked and monitored utilizing the Elation compliance System (see Exhibit E). SLEB prime contractor with SLEB subcontractors must enter payments made to subcontractors in the Elation System and ensure that SLEB subcontractors confirm payments received.

Contractor shall meet the requirements above within 15 business days of the County notifying Contractor that it is no longer in compliance with the program. District will be under no obligation to pay contractor for the percent committed to a SLEB subcontractor if the work is not performed by the listed small and/or emerging local business.

For further information regarding the Small Local Emerging Business participation requirements and utilization of the Alameda County Contract Compliance System contact the County Auditor- Controller's Office of Contract Compliance (OCC) via e-mail at ACSLEBcompliance@acgov.org.

22. First Source Program

For contracts over \$100,000, Consultant shall provide District ten (10) working days to refer to Consultant, potential candidates to be considered by Consultant to fill any new or vacant positions that are necessary to fulfill their contractual obligations to the District that Consultant has available during the contract term before advertising to the general public.

23. Non-Discrimination, Equal Employment Opportunity and Business Practices

Consultant shall not discriminate against any employee or applicant for employment, nor against any Subconsultant or applicant for a subcontract, because of race, color, religious creed, age, sex, actual or perceived sexual orientation, national origin, disability as defined by the ADA (as defined below) or veteran's status. To the extent applicable, Consultant shall comply with all federal, state and local laws (including, without limitation, County ordinances, rules and regulations) regarding non-discrimination, equal employment opportunity, affirmative action, and occupational-safety-health concerns, shall comply with all applicable rules and regulations thereunder, and shall comply with same as each may be amended from time to time.

24. Drug-Free Workplace Policy

Consultant acknowledges that pursuant to the Federal Drug-Free Workplace Act of 1989, the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited on County premises. Consultant agrees that any violation of this prohibition by Consultant, its employees, agents or assigns shall be deemed a material breach of this Agreement.

25. Compliance with Americans with Disabilities Act

Consultant acknowledges that, pursuant to the Americans with Disabilities Act (“ADA”), programs, services and other activities provided by a public entity to the public, whether directly or through a contractor, must be accessible to the disabled public. Consultant shall provide the Services specified in this Agreement in a manner that complies with the standard of care established under this Agreement regarding the ADA and any and all other applicable federal, state and local disability rights legislation. Consultant agrees not to discriminate against disabled persons in the provision of services, benefits or activities provided under this Agreement, and further agrees that any violation of this prohibition on the part of Consultant, its employees, agents or assigns shall constitute a material breach of this Agreement.

26. Disputes

- 26.1 Should any question arise as to the meaning and intent of this Agreement, the question shall, prior to any other action or resort to any other legal remedy, be referred to the Alameda County Director of Public Works or his designee, and a principal of the Consultant who shall attempt, in good faith, to resolve the dispute. Such referral may be initiated by written request from either party and a meeting between the District representative, and principal of the Consultant shall then take place within five days of the request.
- 26.2 Provided that District continues to compensate Consultant in accordance with this Agreement, Consultant shall continue its Services throughout the course of any and all disputes. Nothing in this Agreement shall allow Consultant to discontinue Services during the course of any dispute, and Consultant’s failure to continue Services during any and all disputes shall be considered a material breach of this Agreement. Consultant agrees that the existence or continued existence of a dispute does not excuse performance under any provision of this Agreement, including but not limited to, the time to complete the Services. The consultant also agrees that should Consultant discontinue Services due to a dispute or disputes, District may terminate this Agreement for cause as provided herein.
- 26.3 In the event of claims exceeding \$50,000, as a precondition to litigation, the parties shall first participate in non-binding mediation pursuant to the mediation procedures of the American Arbitration Association (“AAA”), in Oakland, before a mediator mutually agreeable to the parties, and in the event the parties are unable to agree, selected by a judge of the Alameda County Superior Court from an approved list of AAA qualified mediators. The parties may agree to engage in discovery prior to mediation, but if they do, they shall follow the procedures prescribed in the California Code of Civil Procedure, Section 2019, et seq. and discovery so conducted shall apply in any subsequent litigation as if conducted in that litigation.

27. Agreement Made in California; Venue

- 27.1 This Agreement shall be deemed to have been executed in the City of Oakland, County of Alameda. The formation, interpretation, and performance of this Agreement shall be governed by the laws of the State of California, excluding its conflict of laws rules. The venue for all litigation relative to the formation, interpretation, and performance of this Agreement shall be in the County of Alameda. Consultant waives CCP §394.
- 27.2 The parties shall execute four originals of this Agreement.

28. Compliance with Laws

- 28.1 Consultant represents that it will comply with all applicable laws in the performance of the Services, regardless of whether such laws are specifically stated in this Agreement and regardless of whether such laws are in effect on the date hereof. Consultant shall comply with all security requirements imposed by authorities with jurisdiction over the Project, and will provide all information, work histories and/or verifications as requested by such authorities for security clearances or compliance.

- 28.2 Consultant further represents that all plans, drawings, specifications, designs and any other product of the Services will comply with all applicable laws, codes and regulations, consistent with the standard of care in this Agreement.

29. Construction

All section and paragraph captions are for reference only and shall not be considered in construing this Agreement. Each signatory to this Agreement for Consultant shall have joint and several responsibility and liability to perform the terms of this Agreement.

30. Miscellaneous

- 30.1 As between the parties to this Agreement: as to all acts or failures to act by either party to this Agreement, any applicable statute of limitations shall commence to run on the date of issuance by District of the final Certificate for Payment, or termination of this Agreement, whichever is earlier. This section shall not apply to latent defects as defined by California law or negligence claims, as to which the statute of limitations shall be as defined by law. However, the applicable statutes of repose, California Code of Civil Procedure Sections §§ 337.1 and 337.15, shall continue to apply.
- 30.2 Any provisions or portion thereof of this Agreement, which is prohibited by, unlawful or unenforceable under any applicable law of any jurisdiction, shall as to such jurisdiction be ineffective without affecting other provisions of this Agreement. If the provisions of such applicable law may be waived, they are hereby waived to the end that this Agreement may be deemed to be a valid and binding agreement enforceable in accordance with its terms. If any provisions or portion thereof of this Agreement are prohibited by, unlawful, or unenforceable under any applicable law and are therefore stricken or deemed waived, the remainder of such provisions and this Agreement shall be interpreted to achieve the goals or intent of the stricken or waived provisions or portions thereof to the extent such interpretation is consistent with applicable law.
- 30.3 Either party's waiver of any breach, or the omission or failure of either party, at any time, to enforce any right reserved to it, or to require performance of any of the terms, covenants, conditions or other provisions of this Agreement, including the timing of any such performance, shall not be a waiver of any other right to which any party is entitled, and shall not in any way affect, limit, modify or waive that party's right thereafter to enforce or compel strict compliance with every term, covenant, condition or other provision hereof, any course of dealing or custom of the trade or oral representations notwithstanding.

31. Entire Agreement; Modifications of Agreement

- 31.1 The Agreement, and any written modification to the Agreement shall represent the entire and integrated Agreement between the parties hereto regarding the subject matter of this Agreement and shall constitute the exclusive statement of the terms of the parties' Agreement. The Agreement, and any written modification to the Agreement, shall supersede any and all prior negotiations, representations or agreements, either written or oral, express or implied, that relate in any way to the subject matter of this Agreement or written modification, and the parties represent and agree that they are entering into this Agreement and any subsequent written modification in sole reliance upon the information set forth in the Agreement or written modification and the parties are not and will not rely on any other information. All prior negotiations, representations or agreements, either written or oral, express or implied, which relate in any way to the subject matter of this Agreement, shall not be admissible or referred to hereafter in the interpretation or enforcement of this Agreement.
- 31.2 Consultant, in any price proposals for changes in the Services that increase the Agreement amount, or for any additional Services, shall break out and list its costs and use percentage markups. Consultant shall require its Subconsultants (if any) to do the same, and the Subconsultants' price proposals shall accompany Consultant's price proposals.

- 31.3 Consultant and its Subconsultants shall, upon request by District, permit inspection of all original unaltered Agreement bid estimates, Subcontract Agreements, purchase orders relating to any change, and documents substantiating all costs associated with all cost proposals.
- 31.4 Changes in the Services made pursuant to this Section and extensions of the Agreement time necessary by reason thereof shall not in any way release Consultant's representations and agreements pursuant to this Agreement.
- 31.5 This Agreement may not be modified, nor may compliance with any of its terms be waived, except by written instrument executed and approved by a fully authorized representative of both District and Consultant expressing such an intention in the case of a modification or by the party waiving in the case of a waiver.
- 31.6 Whenever the words "as directed," "as required," "as permitted," or words of like effect are used, it shall be understood as the direction, requirement, or permission of District. The words "approval," "acceptable," "satisfactory," or words of like import, shall mean approved by, or acceptable to, or satisfactory to District, unless otherwise indicated by the context.

32. Debarment and Suspension Certification

- 32.1 By signing this agreement and Appendix E, Debarment and Suspension Certification, Consultant/Grantee agrees to comply with applicable federal suspension and debarment regulations, including but not limited to 7 Code of Federal Regulations (CFR) 3016.35, 28 CFR 66.35, 29 CFR 97.35, 34 CFR 80.35, 45 CFR 92.35, and Executive Order 12549.

By signing this agreement, Consultant certifies to the best of its knowledge and belief, that it and its principals: (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency; and (2) Shall not knowingly enter into any covered transaction with a person who is proposed for debarment under federal regulations, debarred, suspended, declared ineligible, or voluntarily excluded from participation in such transaction.

33. Labor Code Requirements

- 33.1 The Consultant shall adhere to all appropriate provisions of the California Labor Code in particular with Division 2, Part 7, Chapter 1, Articles 1-3. Any approvals, by the District, will not relieve the Consultant from the observation and/or adherence to the provisions of the California Labor Code.
- 33.2 The Consultant and any subcontractor shall be currently registered to perform public work. The Consultant and any subcontractor shall pay not less than the specified general prevailing rates of wages to all workers employed in the execution of the contract. General Prevailing rates of per diem wages shall be those general wage determinations made by the Director of the Department of Industrial Relations, State of California, for each craft, classification or type of worker required in the execution of the contract.
- 33.3 Copies of the prevailing rate of per diem wages are on file with the Contract Compliance Officer, County of Alameda, 951 Turner Court, Room 100, Hayward, CA 94545.
- 33.4 The Consultant shall post, on the job site, a copy of the prevailing rates of per diem wages as determined by the Director of the Department of Industrial Relations, State of California, for each craft, classification or type of worker needed to execute the contract.
- 33.5 Premium pay for Saturdays, Sundays, holidays and overtime shall be as determined by the Director of the Department of Industrial Relations, State of California for each craft, classification or type of worker required in the execution of the contract. Holidays for which the general prevailing hourly wage rate for holiday work shall be paid, shall be all holidays recognized in the collective bargaining agreement

on file with the Director of the Department of Industrial Relations, State of California, applicable to the particular craft, classification, or type of worker employed on the project.

- 33.6 Health and welfare, pension, vacation/holiday, apprenticeship or other training programs and any other employer payments required in the execution of the contract shall be as determined by the Director of the Department of Industrial Relations, State of California, for each craft, classification or type of worker required in the execution of the contract.
- 33.7 Hours of work per day or week shall be as determined by the director of the Department of Industrial Relations, State of California, for each craft, classification or type of worker required in the execution of the contract. Eight hours labor constitutes a legal day's work.
- 33.8 Pursuant to Section 1773.8 of the Labor Code, travel and subsistence payments shall be made to each worker needed to execute the work, as such travel and subsistence payments are defined in the applicable collective bargaining agreements filed with the Director of the Department of Industrial Relations, State of California.
- 33.9 The Consultant, or any subcontractor, shall comply with all provisions of Section 1777.5 of the Labor Code pertaining to the employment of apprentices on public works projects. The responsibility for compliance with all the provisions of said Section 1777.5 for apprenticeable occupations is vested with the Consultant. In the event the Consultant willfully fails to comply with Section 1777.5, said Consultant shall be denied the right to bid on any public works contract for a period of up to one year for the first violation and up to three years for the second or subsequent violation with the period running from the date the determination of non-compliance is made. The interpretation and enforcement of Section 1777.5 shall be in accordance with rules and procedures prescribed by the California Apprenticeship Council.
- 33.10 The Consultant shall comply with the Labor Code Sections 1774 and 1775. In accordance with said Section 1775, the Consultant shall forfeit, as a penalty, not more than Two-Hundred Dollars (\$200.00) for each calendar day or portion thereof, for each worker paid less than the prevailing wage rates as determined by the Director of Industrial Relations, State of California, for such work or craft in which such worker is employed for any work done under the contract by the Consultant, or by any subcontractor, in violation of the provisions of the Labor Code, and, in particular, Labor Code Sections 1770 to 1780 inclusive. In addition to said penalty, and pursuant to said Section 1775, the difference between such stipulated prevailing wage rates and the amount paid to each worker for each calendar day or portion thereof, for which each worker was paid less than the stipulated prevailing wage rate, shall be paid to each worker by the Consultant.
- 33.11 Eight hours labor constitutes a legal day's work. The Consultant shall forfeit, as a penalty, Twenty-Five Dollars (\$25.00) for each worker employed in the execution of the contract by the Consultant or by any subcontractor for each calendar day during which such worker is required or permitted to work more than 8 hours in any one calendar day and 40 hours in any one calendar week in violation of the provisions of the Labor Code and, in particular, Sections 1810 to 1814 thereof, inclusive, except that work performed by employees of the Consultant in excess of 8 hours per day, and 40 hours during any one week, shall be permitted upon compensation for all hours worked in excess of 8 hours per day at not less than one-and-one-half (1-1/2) times the basic rate of pay, as provided in Section 1815 of the Labor Code.
- 33.12 In accordance with Section 1776 of the Labor Code:
- 33.12.1 The Consultant and each subcontractor shall keep an accurate payroll record, showing the name, address, social security number, work classification, ethnic code, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by said Consultant or subcontractor in connection with the work.

33.12.2 The payroll records enumerated in Section 33.12.1 shall be certified, and shall be available for inspection at all reasonable hours at the principal office of the Consultant on the following basis:

33.12.2.1 A certified copy of an employee's payroll record shall be made available for inspection or furnished to such employee or his or her authorized representative on request.

33.12.2.2 A certified copy of all payroll records enumerated in Section 33.12.1 shall be forwarded weekly to the Contract Compliance Officer via the Inspector at 951 Turner Court, Hayward, CA 94545, and shall be made available for inspection or furnished upon request to a representative of the District, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards of the Department of Industrial Relations, State of California.

33.12.2.3 A certified copy of all payroll records enumerated in Section 33.12.1 shall be made available upon request to the public for inspection or copies thereof made; provided, however, that a request by the public shall be made through either the District, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement. The public shall not be given access to such records at the principal office of the Consultant.

33.12.3 The Consultant shall file a certified copy of the records enumerated in Section 33.12.1 with the entity that requested such records within ten (10) days after receipt of a written request.

33.12.4 Unless otherwise provided by law, any copy of records made available for inspection as copies and furnished upon request to the public or to any public agency by the District, the Division of Apprenticeship Standards or the Division of Labor Standards Enforcement, shall be marked or obliterated in such a manner so as to prevent disclosure of an individual's name, address and social security number. The name and address of the Consultant awarded the contract or performing the contract shall not be marked or obliterated.

33.12.5 The Consultant shall inform the District of the location of the records enumerated under Section 33.12.1 including the street address, city and county, and shall, within five (5) working days, provide a notice of any change of location and/or address.

33.12.6 In the event of noncompliance with the requirements of said Section 1776 of the Labor Code, the Consultant shall have ten (10) calendar days in which to comply subsequent to receipt of written notice specifying in what respects such Consultant must comply with said Section. Should noncompliance still be evident after such ten-day period, the Consultant shall, as a penalty, forfeit One-Hundred Dollars (\$100.00) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the Division of Apprenticeship Standards or the Division of Labor Standards Enforcement, such penalties shall be withheld from progress payments then due.

33.12.7 The responsibility for compliance with Section 1776 of the Labor Code shall be a responsibility of the Consultant.

33.13 A certified copy of all payroll records enumerated in the above Section 33.12 shall be sent weekly to the Contract Compliance Officer via the Inspector at 951 Turner Court, Hayward, CA 94545.

33.13.1 Certified weekly payrolls shall show the wages and benefits paid to each employee, the employee's job classification, sex and ethnic code. Payrolls will be submitted by the Consultant and each subcontractor via the Consultant.

33.13.2 This provision applies to all classifications, including truckers.

- 33.14 Requests for information relating to labor compliance records, including certified payroll records enumerated in Section 33.12, shall be made through the Contract Compliance Officer at 951 Turner Court, Room 100, Hayward, CA 94545.
- 33.15 Failure to file certified copies of the records enumerated in Section 33.12.1 with District representatives may result in conditioning amounts of any progress payment due.
- 33.16 The Consultant assures that he/she/it will comply with the Americans with Disabilities Act (ADA) and Title VII of the Civil Rights Act of 1964 and that no person shall, on the grounds of race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam era Veteran's status, political affiliation, or any other non-merit factor, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this contract.
- 33.16.1 The Consultant shall, in all solicitations or advertisements for applicants for employment placed as a result of this contract, state that it is an "Equal Opportunity Employer" or that all qualified applicants will receive consideration for employment without regard to their race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam era Veteran's status, political affiliation, or any other non-merit factor.
- 33.16.2 Consultant shall, if requested to so do by the District, certify that it has not, in the performance of this contract, discriminated against applicants or employees because of their race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam era Veteran's status, political affiliation, or any other non-merit factor.
- 33.16.3 If requested to do so by the District, Consultant shall provide the District with access to copies of all of its records pertaining or relating to its employment practices, except to the extent such records or portions of such records are confidential or privileged under state or federal law.
- 33.16.4 Consultant shall recruit vigorously and encourage minority- and women-owned businesses to bid its subcontracts.
- 33.16.5 Nothing contained in this contract shall be construed in any manner so as to require or permit any act which is prohibited by law.
- 33.16.6 The Consultant shall include the provisions set forth in Sections 33.16.1 through 33.16.5 in each of its subcontracts.
- 33.16.7 **EQUAL EMPLOYMENT OPPORTUNITY PRACTICES PROVISIONS POLICY FORM:**
The Consultant must post the Equal Employment Opportunity Practices Provisions Policy in a conspicuous place at each construction site. A sample form shall be provided.
- 33.17 Non-compliance with the provisions of the Equal Employment Opportunity Practices policy is subject to the provisions outlined below.
- 33.17.1 If District finds that the Consultant has violated the Equal Employment Opportunity Practices Provisions policy, the Director of Public Works (or designee) shall hold a meeting with the Consultant for the purpose of determining whether the Consultant is out of compliance. If after the meeting the Consultant is found to be still out of compliance, the Consultant will be notified of a public hearing. The public hearing will be held before the Board of Supervisors with a minimum five calendar-day notice to the Consultant. If the Board of Supervisors finds that there has been a violation, the District will notify the Consultant in writing of the sanctions to be imposed.
- 33.17.2 In addition, the District shall deem a finding by the Fair Employment Practice Commission that there was willful violation of the California Fair Employment Act also to be a violation

by the Consultant of the Equal Employment Opportunity Practices Provisions requirements of the contract, and such violation shall be subject to the sanctions provided herein.

33.18 A finding at the public hearing that there has been violation of the Equal Employment Opportunity Practices Provisions requirements of the contract shall be cause for the Board of Supervisors to impose any or all of the following sanctions:

33.18.1 Withhold an additional ten percent (10%) of all further contract progress payments until the Consultant provides evidence satisfactory to the Board of Supervisors that the condition of non-compliance has been corrected.

33.18.2 Suspend the contract until such time as the Consultant provides evidence satisfactory to the Board of Supervisors that the condition of non-compliance has been corrected.

33.18.3 Terminate the contract and collect appropriate damages from the Consultant.

33.18.4 Declare that the Consultant is a non-responsible bidder, and is ineligible to make bids on future District contracts for a stated period of time or until the Consultant can demonstrate to the satisfaction of the Board of Supervisors that the violation has been corrected.

* * * END OF STANDARD AGREEMENT * * *

APPENDIX A

SERVICES TO BE PROVIDED BY CONSULTANT

1. This is an appendix attached to, and made a part of the Agreement dated (Day) of _____, 2020, between the Alameda County Flood Control and Water Conservation District (“District”) and (CONSULTANT NAME) (“Consultant”), providing for professional services.

1.1 The District’s Proposed Project— (“Project Title”) in Alameda County.

1.2 Consultant Team

Consultant’s team consists of

(CONSULTANT NAME), (CONSULTANT ADDRESS),

(ALL SUBCONSULTANT NAMES), (ALL SUBCONSULTANT ADDRESSES),

Consultant shall provide civil engineering services, and is the prime consultant, with the other consultants serving as subconsultants.

1.3 Scope of Project

Refer to Appendix A Exhibit A-1 attached hereto.

1.4 Consultant’s Milestone Schedule and Deliverables

The Milestone Schedule shall be in accordance with Appendix A Exhibit A-1 attached hereto. The deliverables shall be as specified in Appendix A Exhibit A-1 attached hereto.

1.5 Personnel and Subconsultants

Consultant shall use only the personnel and subconsultants identified herein.

2. General Requirements

2.1 General Criteria Governing Consultant’s Service

2.1.1 The Project shall be developed and designed to meet all applicable and the most current codes, laws, regulations, and professional standards. Certain exceptions are possible, but only when the District grants a written exemption to a specific standard or regulation.

2.1.2 Consultant shall review existing District data, reports, plans, and other information regarding the site, and perform field investigations as necessary to become familiar with the site. Consultant shall make an independent assessment of the accuracy of the information provided by the District concerning existing conditions (including, but not limited to, existing utilities and structures) and conduct such further investigations of existing conditions as are necessary for Consultant to perform the Services. Consultant shall rely on the results of its own independent investigations and not on information provided by District. Consultant shall review supplied design information and advise District of its adequacy for Consultant’s work and advise District of any further design or other services necessary to complete the Project.

- 2.1.3 Unless otherwise permitted in writing by District, Consultant shall not specify or recommend unique, innovative, proprietary or sole source equipment, systems or materials. In the event Consultant requests to specify or recommend a proprietary or sole source design or equipment, Consultant shall provide District with a written evaluation of whether all periodic maintenance and replacement of parts, equipment or systems, can be performed normally and without excessive cost or time. District will consider such evaluation in making its decision.

2.2 General Scope of Consultant's Services

- 2.2.1 Consultant's services shall include all professional services within the scope of Consultant's professional discipline (including Consultant's team's professional disciplines) necessary to accomplish the tasks defined throughout this Appendix. These services will include, but are not limited to, the services outlined in Consultant's proposed scope of services annexed to this Appendix as its Exhibit A-1. Consultant shall have adequate personnel, facilities, equipment and supplies to complete Consultant's Services.
- 2.2.2 Performance of Services will require Consultant to work with, meet with, and attend meetings with District staff, with other governmental agencies, and with such other consultants as Consultant determines necessary, to the extent necessary for performance of Consultant's duties under this Agreement (including, but not limited to, Consultant's express duties of coordination with other consultants).
- 2.2.3 Consultant shall engage all appropriate specialty subconsultants as are necessary for proper completion of Consultant's Services in accordance with the scope of work specified herein and utilizing the consultants as specified in Exhibit A-1, at the sole expense of Consultant. Consultant's contracts with its subconsultants (and their contracts with their subconsultants) shall incorporate this Agreement by reference to the extent not inconsistent with the subconsultant's scope of work. Consultant shall secure District's approval for any subconsultants not listed in Exhibits 1 and this Appendix. Consultant shall require each of its subconsultants to execute agreements containing standard of care and indemnity provisions coextensive with those in this Agreement and which will indemnify and hold District harmless from any negligent errors or omissions of the Subconsultants.
- 2.2.4 Consultant shall provide District with written evaluations, when applicable, of the effect of any and all governmental and private regulations, licenses, patents, permits, and any other type of applicable restriction and associated requirements on the Services and its incorporation into the Project, including but not limited to, all requirements imposed by the Regional Water Quality Control Board, California Uniform Building Code and California Regulations (including, but not limited to, Title 24). Consultant may incorporate these written evaluations into its deliverables as expository of the report and design solutions provided.

2.3 Coordination of Services with the Project, District's Consultant Team, and District Staff

- 2.3.1 Consultant shall fully coordinate its services with the services of all disciplines and subconsultants involved in completing the Project. Consultant shall immediately advise District in writing if any District staff or consultant fails in any manner to coordinate its work with Consultant, and the nature of the non-coordination.

- 2.3.2 Consultant shall provide appropriate training for Consultant's personnel. Consultant shall review and train Consultant's personnel in appropriate procedures for work. Consultant shall provide all safety equipment (if needed) for Consultant's personnel.

2.4 Deliverables and Completion Dates Required Under this Agreement

Required deliverables are discussed in Consultant's proposed scope of work annexed as Exhibit A-1. Each deliverable shall be reviewed with representatives of the District. The District shall make a reasonable determination of the acceptability of the deliverables. Consultant shall promptly correct deficiencies that District reasonably identifies in the deliverables and shall promptly make modifications to conform with Project requirements and modifications to achieve acceptability of deliverables to District, and the cost thereof is included in the fee. (If Consultant should disagree with District's determination, Consultant shall make the changes requested by District under a reservation of rights to request additional compensation and shall submit separate supporting documentation for the additional charge).

2.5 Monthly Progress Update

With each request for payment, Consultant shall provide District with a written Monthly Progress Update. The Monthly Progress Update shall cover the Consultant's percent complete for each phase of the work as outlined in the "Monthly Billing Breakdown" in accordance with Appendix B, Item 2. If applicable, the Monthly Progress Update shall identify any actions and approvals needed, and any problems in performing the Services (whether by Consultant, District, or any third party) of which Consultant becomes aware.

3. Consultant's Proposal

- 3.1 The consultant has prepared and supplied District with a proposed scope of work dated (mm/dd/2020) which is attached to this Appendix as its Exhibit A-1 and incorporated herein by this reference ("Proposal"). Consultant's Proposal represents Consultant's initial proposed scope of services. This Agreement (and its appendices) the Proposal are deemed complementary; what is called for by one is as binding as if called for in both, and shall be performed by Consultant. In the case of direct conflict between this Agreement and the Proposal, then the following rules apply:
- 3.2 Regarding any conflict (direct or indirect) between the Proposal and either the Agreement general terms or appendices, the terms of the Agreement general terms and/or appendices shall have precedence.
- 3.3 Regarding any conflict (direct or indirect) between the Proposal and this Appendix A, the more stringent requirements providing the District with the broader scope of services shall have precedence, such that the scope of work described in this Appendix "A" and the scope of work described in the Proposal shall both be performed to the greatest extent feasible.

END OF APPENDIX A

APPENDIX B

PAYMENTS TO CONSULTANT

This is an appendix attached to, and made a part of the Agreement dated (Day) of _____, 2020, between the Alameda County Flood Control and Water Conservation District (“District”) and (CONSULTANT NAME) (“Consultant”), providing for professional services.

1. Amount of Compensation for Services of Consultant

- 1.1 The amount of compensation to be paid to Consultant for all services under this Agreement shall not exceed (Amount written in numerical words) (\$_____) referred to hereafter as the Not To Exceed Amount (“NTE”). Total compensation due Consultant shall be the actual amount invoiced based upon the Consultant’s hourly billing, which may be less than the NTE amount. Reimbursable Expenses are included in the NTE. The NTE also includes within its scope the scope of all subconsultants and their reimbursables, and shall constitute full compensation for the Services.
- 1.2 “Reimbursable Expenses” means job related expenses directly incurred by Consultant in the performance of services provided under the Agreement. Reimbursable expenses include mail and overnight delivery services, reproduction of reports, drawings, specifications, photographs, and similar. Normal travel expenses to and from the site are included in the base contract. Out-of-State travel in connection with the project shall be approved in advance by District.

2. Monthly Billing Breakdown

- 2.1 District shall make monthly payments to Consultant in accordance with approved Monthly Billing Breakdown, which shall be submitted by Consultant for District’s approval prior to the first monthly invoice. The “Monthly Billing Breakdown” shall itemize separate categories for each consultant, each phase of work, along with the billing period defining the time line and cost for each category.

3. Methods of Payment to Consultant

- 3.1 Consultant shall submit monthly invoices in accordance with the approved “Monthly Billing Breakdown” specifying the percentage complete for each billing category and itemized reimbursable expenses supported by invoices and appropriate backup documentation. Each invoice shall report on Consultant’s total billings.
- 3.2 The Billing Rates used as a basis for payment apply to all of Consultant’s and Subconsultants’ principals, professional personnel and others engaged directly on the Project. The Billing Rates shall remain constant throughout this Agreement, and shall not be adjusted for inflation, salary adjustments, cost changes, or any other reason.

END OF APPENDIX B

APPENDIX C

COUNTY OF ALAMEDA MINIMUM INSURANCE REQUIREMENTS

Without limiting any other obligation or liability under this Agreement, the Contractor, at its sole cost and expense, shall secure and keep in force during the entire term of the Agreement or longer, as may be specified below, the following minimum insurance coverage, limits and endorsements:

| TYPE OF INSURANCE COVERAGES | | MINIMUM LIMITS |
|-----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| A | Commercial General Liability Premises Liability; Products and Completed Operations; Contractual Liability; Personal Injury and Advertising Liability; Abuse, Molestation, Sexual Actions, and Assault and Battery | \$1,000,000 per occurrence (CSL) Bodily Injury and Property Damage |
| B | Commercial or Business Automobile Liability All owned vehicles, hired or leased vehicles, non-owned, borrowed and permissive uses. Personal Automobile Liability is acceptable for individual contractors with no transportation or hauling related activities | \$1,000,000 per occurrence (CSL) Any Auto Bodily Injury and Property Damage |
| C | Workers' Compensation (WC) and Employers Liability (EL) Required for all contractors with employees | WC: Statutory Limits EL: \$1,000,000 per accident for bodily injury or disease |
| D | Professional Liability/Errors & Omissions Includes endorsements of contractual liability and defense and indemnification of the County | \$1,000,000 per occurrence \$2,000,000 project aggregate |
| E | <p><u>Endorsements and Conditions:</u></p> <ol style="list-style-type: none"> ADDITIONAL INSURED: All insurance required above with the exception of Professional Liability, Commercial or Business Automobile Liability, Workers' Compensation and Employers Liability, shall be endorsed to name as additional insured: Alameda County Flood Control and Water Conservation District (District), its Board of Supervisors, the individual members thereof, and all District and County of Alameda officers, agents, employees, volunteers, and representatives. The Additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13. DURATION OF COVERAGE: All required insurance shall be maintained during the entire term of the Agreement. In addition, Insurance policies and coverage(s) written on a claims-made basis shall be maintained during the entire term of the Agreement and until 3 years following the later of termination of the Agreement and acceptance of all work provided under the Agreement, with the retroactive date of said insurance (as may be applicable) concurrent with the commencement of activities pursuant to this Agreement. REDUCTION OR LIMIT OF OBLIGATION: All insurance policies, including excess and umbrella insurance policies, shall include an endorsement and be primary and non-contributory and will not seek contribution from any other insurance (or self-insurance) available to the County. The primary and non-contributory endorsement shall be at least as broad as ISO Form 20 01 04 13. Pursuant to the provisions of this Agreement insurance effected or procured by the Contractor shall not reduce or limit Contractor's contractual obligation to indemnify and defend the Indemnified Parties. INSURER FINANCIAL RATING: Insurance shall be maintained through an insurer with a A.M. Best Rating of no less than A:VII or equivalent, shall be admitted to the State of California unless otherwise waived by Risk Management, and with deductible amounts acceptable to the County. Acceptance of Contractor's insurance by County shall not relieve or decrease the liability of Contractor hereunder. Any deductible or self-insured retention amount or other similar obligation under the policies shall be the sole responsibility of the Contractor. SUBCONTRACTORS: Contractor shall include all subcontractors as an insured (covered party) under its policies or shall verify that the subcontractor, under its own policies and endorsements, has complied with the insurance requirements in this Agreement, including this Exhibit. The additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13. JOINT VENTURES: If Contractor is an association, partnership or other joint business venture, required insurance shall be provided by one of the following methods: <ul style="list-style-type: none"> Separate insurance policies issued for each individual entity, with each entity included as a "Named Insured" (covered party), or at minimum named as an "Additional Insured" on the other's policies. Coverage shall be at least as broad as in the ISO Forms named above. Joint insurance program with the association, partnership or other joint business venture included as a "Named Insured". CANCELLATION OF INSURANCE: All insurance shall be required to provide thirty (30) days advance written notice to the County of cancellation. CERTIFICATE OF INSURANCE: Before commencing operations under this Agreement, Contractor shall provide Certificate(s) of Insurance and applicable insurance endorsements, in form and satisfactory to County, evidencing that all required insurance coverage is in effect. The County reserves the rights to require the Contractor to provide complete, certified copies of all required insurance policies. The required certificate(s) and endorsements must be sent as set forth in the Notices provision. | |

APPENDIX D**COUNTY OF ALAMEDA**
DEBARMENT AND SUSPENSION CERTIFICATION
For Procurements Over \$25,000

The Consultant, under penalty of perjury, certifies that, except as noted below, Consultant, its Principals, and any named and unnamed subconsultants/subcontractors:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three years;
- Does not have a proposed debarment pending; and
- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Certification.

CONSULTANT: _____

PRINCIPAL: _____ TITLE: _____

SIGNATURE: _____ DATE: _____

END OF APPENDIX D

APPENDIX E**COUNTY OF ALAMEDA
CONTRACT COMPLIANCE REPORTING REQUIREMENTS****CONTRACT COMPLIANCE REPORTING REQUIREMENTS**

County project managers will provide a special access code to contractors and subcontractors participating in this contract to allow use of the Elation Systems free of charge.

Upon receipt of signed contract documents, prime contractor shall immediately enter/assign subcontractors in the System, confirm payments received from the County within five business days in the System, immediately enter payments made to subcontractors, and ensure that subcontractors confirm they received payments within five business days in the System. Subcontractors shall confirm their payments received from the prime contractor within five business days in the System.

County of Alameda Contract Compliance System training and ongoing support are provided at no charge to contractors and participating sub-contractors awarded a contract as a result of this bid process for this project. Contractors having contracts with the County should schedule a representative from their office/company, along with each of their subcontractors, to attend training. For the training schedule, please call Elation Systems at (925) 924-0340.

It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize the County of Alameda Contract Compliance System. Training sessions are approximately one hour and will be held periodically in a number of locations throughout County of Alameda.

END OF APPENDIX E

APPENDIX F
ALAMEDA COUNTY VENDOR FIRST SOURCE AGREEMENT
VENDOR INFORMATION

ALCOLINK Vendor Number (if known): _____ SLEB Vendor Number: _____

Full Legal Name: _____ DBA: _____

Type of Entity: ☐ Individual ☐ Sole Proprietor ☐ Partnership
☐ Corporation ☐ Tax-Exempted ☐ Government or Trust

Check the boxes that apply:

☐ Goods Only ☐ Goods & Services ☐ Rents/Leases ☐ Legal Services
☐ Rents/Leases paid to you as the agent ☐ Medical Services ☐ Non-Medical Services –
Describe _____ ☐ Other _____

Federal Tax ID Number (required): _____

P.O. Box/Street Address: _____

Vendor Contact's Name: _____

Vendor Contact's Telephone: _____ Fax: _____

Vendor Contact's E-mail address: _____

Please check all that apply:

| | | |
|-----|--------------------------|--------------------------------------------------------------|
| LOC | <input type="checkbox"/> | Local Vendor (Holds business license within Alameda County) |
| SML | <input type="checkbox"/> | Small Business (as defined by Small Business Administration) |
| I | <input type="checkbox"/> | American Indian or Alaskan Native (>50%) |
| A | <input type="checkbox"/> | Asian (>50%) |
| B | <input type="checkbox"/> | Black or African American (>50%) |
| F | <input type="checkbox"/> | Filipino (>50%) |
| H | <input type="checkbox"/> | Hispanic or Latino (>50%) |
| N | <input type="checkbox"/> | Native Hawaiian or other Pacific Islander (>50%) |
| W | <input type="checkbox"/> | White (>50%) |

Number of Entry Level Positions available through the life of the contract: _____

Number of other positions available through the life of the contract: _____

This information to be completed by County:

Contract # _____

Contract Amount: _____

Contract Term: _____

Vendor agrees to provide Alameda County (through East Bay Works and Social Services Agency), ten (10) working days to refer to Vendor, potential candidates to be considered by Vendor to fill any new or vacant positions that are necessary to fulfill their contractual obligations to the County, that Vendor has available during the life of the contract before advertising to the general public. Vendor will also provide the County with specific job requirements for new or vacant positions. Vendor agrees to use its best efforts to fill its employment vacancies with candidates referred by County, but final decision of whether or not to offer employment, and the terms and conditions thereof, to the candidate(s) rest solely within the discretion of the Vendor.

Alameda County (through East Bay Works and Social Services Agency) agrees to only refer pre-screened qualified applicants, based on vendor specifications, to vendor for interviews for prospective employment by Vendor (see Incentives for Vendor Participation under Vendor/First Source Program located on the Small Local Emerging Business (SLEB) Website, <http://www.acgov.org/auditor/sleb/>).

If compliance with the First Source Program will interfere with Vendor's pre-existing labor agreements, recruiting practices, or will otherwise obstruct Vendor's ability to carry out the terms of the contract, Vendor will provide to the County a written justification of non-compliance in the space provided below.

(Company Name)

(Vendor Signature)

(Date)

(East Bay Works / One-Stop Representative Signature)

(Date)

Justification of Non-Compliance:

ATTACHMENT E – County Small, Local, and Emerging Business (SLEB) Forms

1. SLEB Certification Instructions
2. East Bay Inter-agency Alliance (EBIA) Common Application for Local Certification
3. SLEB Partnering Information Sheet
4. Request for Preference

This page left intentionally blank.



COUNTY OF ALAMEDA
SMALL, LOCAL AND EMERGING BUSINESS PROGRAM
SLEB
CERTIFICATION INSTRUCTIONS

1. Complete the application form

3 Easy Steps

Program Definitions

Local Business: A business having a fixed office with a street address in Alameda County for a minimum period of 6 months and a valid business license issued by the County or a City within Alameda County

Small Business: A business which has been certified by the County as local and meets the U.S. Business Administration (SBA) size standards for its classification. Size standards and classification codes information available at the NAICS website.

Emerging Business: A business which has been certified by the County as local and meet less than one half of the U.S. SBA size standards for its classification and has been in business less than 5 years.

If you own less than 51% interest in your business, please indicate other owner(s) name(s), title(s) and percentage of ownership. List all current business and professional licenses. If you have been in business for less than three years, please provide your actual gross receipts received for the period that you have been in business. If you have not been in business for a complete tax year, please provide actual gross receipts to date. If any item on the application form is not applicable, please put "N/A" in the designated area. If additional space is needed, please attach additional sheet(s).

2. Please sign* and mail Application to:

Alameda County Auditor-Controller Agency
Office of Contract Compliance
1221 Oak Street, Room 249
Oakland, CA 94612

*The application form must be signed by the owner, principal partner or authorized officer of the corporation. We will contact you within 10 days to schedule a site visit upon receipt of your application.

3. On-site Visit

The following items must be available for our review during the visit to your business address:

- ☐ Signed Federal Tax Returns showing Gross Business Receipts for the last 3 years**
- ☐ Business Licenses
- ☐ Current Identification (i.e. Driver's License, Identification Card)
- ☐ Deed, Rental or Lease Agreement showing Business Address

**Personal Net Worth Statement (if the business has never filed taxes)

If you have questions regarding your certification, please contact:

Office of Contract Compliance Tel: (510) 891-5500 Fax: 510-272-6502 or Email: ACSLEBcompliance@acgov.org

Thank you for your interest in doing business with Alameda County.

East Bay Interagency Alliance (EBIA)**COMMON APPLICATION for
LOCAL CERTIFICATION**

Alameda County – Alameda County Transportation Commission – City of Oakland – Port of Oakland

Submittal Date: _____

Check Certifying Agency and click link to download Supplemental:

- ☐ Alameda County – No supplemental required
☐ Alameda County Transportation Commission
☐ City of Oakland
☐ Port of Oakland
☐ All the above

The Common Application is a sharing of information between agencies and NOT a reciprocal certification.**1) Contact Information**

| | | | |
|----------------------------------------|--------------|-------------------------------|--------|
| Legal Name of Entity | | Contact Person (Name & Title) | |
| Street Address of Entity (No P.O. Box) | | | |
| City | State | Zip Code | County |
| Telephone () | Fax # () | Cell# () | |
| Email Address | | Web Site | |

2) Company Profile

| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| Primary Service undertaken/offered: | | Specialty Service undertaken/offered: | |
| Date Entity was established (mm/dd/yr) | Does the entity have one or more additional offices outside the city of Oakland, CA? <input type="checkbox"/> Y <input type="checkbox"/> N If yes, list other location(s) | Date Oakland office was established (mm/dd/yr) | |
| Method of Acquisition | <input type="checkbox"/> New <input type="checkbox"/> Merger or consolidation | <input type="checkbox"/> Purchased existing <input type="checkbox"/> Inherited | <input type="checkbox"/> Secured concession <input type="checkbox"/> Other (explain) |
| Federal ID Number: | | | |
| Has this entity operated under a different name during the past five years? <input type="checkbox"/> | | | |
| Type of Firm <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Joint Venture <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Limited Liability Corporation <input type="checkbox"/> Publicly traded entity <input type="checkbox"/> Non-Profit or Church <input type="checkbox"/> Other _____ | | Ethnicity Group of owners(s) that own greater than 50% of the business. (for tracking purposes only) <input type="checkbox"/> African American <input type="checkbox"/> Asian <input type="checkbox"/> Asian Pacific /Hawaiian <input type="checkbox"/> Asian Indian <input type="checkbox"/> Caucasian <input type="checkbox"/> Filipino <input type="checkbox"/> Hispanic <input type="checkbox"/> Native American <input type="checkbox"/> Multi ethnic ownership <input type="checkbox"/> Multi ethnic minority ownership <input type="checkbox"/> Other _____ | |
| Gross Receipts for the last three recent fiscal years: Please attach copies of appropriate tax returns: (e.g. Form 990, Form 1040, Form 1120, etc) | | Gender (for tracking purposes only) <input type="checkbox"/> Male <input type="checkbox"/> Female | |
| Year Ended _____ | Year Ended _____ | Total Receipts \$ _____ | Total Receipts \$ _____ |
| Year Ended _____ | Year Ended _____ | Total Receipts \$ _____ | Total Receipts \$ _____ |
| Year Ended _____ | Year Ended _____ | Total Receipts \$ _____ | Total Receipts \$ _____ |

2) Company Profile: (Continue)

| | | |
|-----------------------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------|
| Number of Employees at the local office Permanent Full time ____ Permanent Part time ____ | Temporary Full Time ____ Temporary Part Time ____ | Seasonal Full Time ____ Seasonal Part Time ____ |
| TOTAL Number of Employees at all locations. Permanent Full time ____ Permanent Part time ____ | Temporary Full Time ____ Temporary Part Time ____ | Seasonal Full Time ____ Seasonal Part Time ____ |

3) Certifications:

| Name of Issuing Authority | Type | Number | Expiration Date |
|----------------------------------------------------------------------------------------------------------------------------------|------|--------|-----------------|
| City / County Business Tax Certificate | | | |
| Internal Revenue Service (required) – If your firm is a Non-Profit, submit the Letter of Determination of Not For Profit Status. | | | |
| State of CA /CUCP Certification for DBE/ACDBE firm | | | |
| State of CA /SBA Certification for Small firm | | | |
| Other Certification | | | |
| Other Certification | | | |
| Other Certification | | | |
| | | | |

4) Professional Licenses, Permits and/or Certificates (e.g. contractor, architect, engineer, etc. – list all that apply - attach copies. List on a separate page if additional space is needed)

| Name of Issuing Authority | Type | Number | Expiration Date |
|----------------------------------------------------------------|------|--------|-----------------|
| State of CA Contractor's License Board – Contractor's License: | | | |
| State of CA Professional Service License or Permit: | | | |
| State of CA Service Provider License or Permit: | | | |
| Other: | | | |
| Other: | | | |
| | | | |

5) NAICS Codes: Please review the NAICS¹ listing of work codes and indicate below your areas of expertise ranked in order of importance (begin with primary and specialty areas as indicated in the Company Profile section) NAICS Codes can be found at the NAICS website & the Census website.. Add separate sheet for additional NAICS codes if needed.

| NAICS Code | Description of Work |
|------------|---------------------|
| | |
| | |
| | |
| | |
| | |

6) Additional Information:

Are you a Trucking Firm? ☐ Yes ☐ No Are you a Truck Broker? ☐ Yes ☐ No Both? ☐ Yes ☐ No
 A supplier? ☐ Yes ☐ No

7) When submitting this application to any of the checked Certification Taskforce members, I consent to the sharing of information contained herein and declare under penalty of perjury that statements in this application are true and correct. ☐ Yes ☐ No __________
Signature_____
Print Name_____
Title_____
Date

¹ North American Industry Classification System – www.naics.com
 Rev. 05/2011

SMALL LOCAL EMERGING BUSINESS (SLEB) PARTNERING INFORMATION SHEET

RFP #FLO202203100

In order to meet the Small Local Emerging Business (SLEB) requirements of this RFP, all firms must complete this form as required below.

Firms not meeting the [definition of a SLEB \(http://acgov.org/auditor/sleb/overview.htm\)](http://acgov.org/auditor/sleb/overview.htm) are required to subcontract with a SLEB for at least twenty percent (20%) of the total estimated proposal amount in order to be considered for contract award. SLEB subcontractors must be independently owned and operated from the prime Contractor with no employees of either entity working for the other. This form must be submitted for each business that firms will work with, as evidence of a firm contractual commitment to meeting the SLEB participation goal. (Copy this form as needed.)

Firms are encouraged to form a partnership with a SLEB that can participate directly with this contract. One of the benefits of the partnership will be economic, but this partnership will also assist the SLEB to grow and build the capacity to eventually propose as a prime on their own.

Once a contract has been awarded, firms will not be able to substitute named subcontractors without prior written approval from the Auditor-Controller, Office of Contract Compliance (OCC).

County departments and the OCC will use the web-based Elation Systems to monitor contract compliance with the SLEB program (Elation Systems: <http://www.elationsys.com/elationsys/index.htm>).

☐ **FIRM IS A CERTIFIED SLEB (sign at bottom of page)**

SLEB FIRM Business Name: _____

SLEB Certification #: _____ SLEB Certification Expiration Date: _____

NAICS Codes Included in Certification: _____

☐ **FIRM IS NOT A CERTIFIED SLEB AND WILL SUBCONTRACT ____% WITH THE SLEB NAMED BELOW FOR THE FOLLOWING GOODS/SERVICES:** _____

SLEB Subcontractor Business Name: _____

SLEB Certification #: _____ SLEB Certification Expiration Date: _____

SLEB Certification Status: ☐ Small / ☐ Emerging

NAICS Codes Included in Certification: _____

SLEB Subcontractor Principal Name: _____

SLEB Subcontractor Principal Signature: _____ Date: _____

Upon award, prime Contractor and all SLEB subcontractors that receive contracts as a result of this proposal process agree to register and use the secure web-based ELATION SYSTEMS. ELATION SYSTEMS will be used to submit SLEB subcontractor participation including, but not limited to, subcontractor contract amounts, payments made, and confirmation of payments received.

Firm Printed Name/Title: _____

Street Address: _____ City _____ State _____ Zip _____

Code _____

Firm Signature: _____ Date: _____

COUNTY OF ALAMEDA

REQUEST FOR PREFERENCE

PLEASE READ AND COMPLETE THIS FORM CAREFULLY:

IF YOU ARE A PRIME FIRM WHO IS A **LOCAL BUSINESS**, AND/OR A CERTIFIED **SMALL AND LOCAL BUSINESS** OR A CERTIFIED **EMERGING AND LOCAL BUSINESS**, COMPLETE THIS FORM AND RETURN IT WITH YOUR RFP/SOQ SUBMITTAL.

Subject to the requirements of the SLEB program and the criteria of each procurement process, the maximum proposal evaluation preference points for being certified is 10% (5% local & 5% certified). Compliance with the SLEB program is required for architectural, landscape architectural, engineering, environmental land surveying, and construction project management services projects.

Check the appropriate boxes below (2 maximum) and provide the requested information.

| | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|-----|
| <input type="checkbox"/> Request for 5% LOCAL Proposal Preference (Complete 1-4, print name, title, sign and date below) Submit the following: <ul style="list-style-type: none"> Copy of a verifiable business license, issued by the County of Alameda or a City within the County; and Proof of six (6) months business residency, identifying the name of the vendor and the local address. Utility bills, deed of trusts or lease agreements, etc., are acceptable verification documents to prove residency. | | | |
| 1. Company Name | | | |
| 2. Street Address | | | |
| 3. Telephone Number | | | |
| 4. Business License # | | | |
| <p>(Check One)</p> <input type="checkbox"/> Request for 5% SMALL Local Business Proposal Preference <u>OR</u> <input type="checkbox"/> Request for 5% EMERGING Local Business Proposal Preference (Complete certification information below) | | | |
| SLEB Certification #: | | SLEB Certification Expiration Date | / / |
| NAICS Codes Included in SLEB Certification | | | |

The Undersigned declares that the foregoing information is true and correct:

Print/Type Name:

Print/Type Title:

Signature:

Date:

This page left intentionally blank.